

## **VENDOR REGISTRATION APPLICATION**

Last date for submission of completed registration form: 29/03/2013 15:00 HRS

No of Pages:-

a) Vendor Registration Guidelines: - 1 to 6

b) Application Forms (Each Form): - 1 to 10

c) General Condition of Contract: - 1 to 45



## **General Instruction to the Vendors/Contractors**

- BHEL, Electronics Division situated at Mysore road, Bangalore is intending to register the Vendors /Contractors for various types of works and supplies. All the works for which registration is being sought has been broadly classified under four categories Covered under the following forms:-
  - Form-1:
    - i. Supply, Erection, Testing and Commissioning (SETC) of centralized HVAC Systems/Chilled water system
    - ii. SETC of Air Conditioning system with Window/Split/Free standing unit
    - iii. Operation of centralized HVAC system
    - iv. Repair & Maintenance of (AMC) of centralized HVAC/Chilled water system.
    - Repair & Maintenance (AMC of Window/Split/Tower Air Conditioners v.
  - Form-2:-
    - LT Power Distribution work (including illumination & ventilation) for i. Industrial/Office buildings.
    - HT Power Distribution work for Industrial/Office buildings. ii.
    - Maintenance of: Illumination & Ventilation Fire Alarm Systems. iii.
    - Operation & Maintenance of Service Plants Diesel Generators Passenger iv. Lifts.
      - Goods Lifts, Passenger cum Goods Lifts, UPS systems.
    - Minor Electrical Works such as Transformer Repair, Oil filtration, Relay & v. Meter Calibration, Cable Fault Location & Cable Jointing, Circuit Breaker Repairs (oil, vacuum & air CB).
  - Form-3:
    - i. SETC of Lifts (Passenger/Cargo), Escalators.
    - ii. SETC of Cage lifts.
  - Form-4:-
    - SETC of Compressors Up to Pressure of 10 Kg/CM2. i.
    - ii. SETC of Piping (plumbing Works).
    - Pest Control & Pied Piper Services iii.
    - Operation & Maintenance of Waste water Treatment Plant of capacity not less iv. than 300 KLD.
    - SETC of water purifiers Cum Coolers. v.
- The Applications are invited from experienced contractors/ Suppliers for registration in the Contractor/Vendor directory of BHEL- EDN, Bangalore for executing various works.
- The Applicant are requested to download the respective forms/Collect from Factory Services (Free of Cost) for which they will be seeking registration. The applicants are requested to fill in all the required particulars in the blank space provided in the form and also sign each page of the application form and Vendor Registration Guidelines.
- The completed application forms along with required credentials shall be put in an envelope 4. and it shall be duly sealed. The name of the Vendor/Contractor and the Work/ Supplies, for which the Vendor / Contractor shall be seeking registration, shall be super scribed in neat legible terms (e.g. The vendors who are in business of AC system and want to register for any of the category of Work/Supplies for HVAC system shall Super scribe his sealed envelope Like



- 5. The enclosure of the envelope shall consist of signed **Vendor Registration Guidelines**, **Application Form** along with **all credentials**.
- 6. The Sealed envelope shall be addressed to AGM (FS-E&S), Electronics Divison, Bharat Heavy Electricals Limited, Mysore road; Bangalore-560026. The sealed envelope shall be submitted to "Factory Services Deptt." Electronics Divison, BHEL Mysore Road, Bangalore-26

For any clarification with respect to contractor registration please contact:

Phone: 26998511/9901454786
 Phone: 26998424/9845603230
 E-mail: jabbar@bheledn.co.in;
 E-mail: prabhat@bheledn.co.in



## **Electronics Division, Mysore Road, Banglore-560026**

## General Terms and Conditions – for registration of contractors/vendors

- i. Procedures for submission and the evaluation criteria for contractor registration are detailed in the application documents.
- ii. In order to be considered for registration, contractors shall have to meet the criteria stipulated in the Application Documents, in full.
- iii. All the information and credentials as called for in the various clauses and annexure of application document should be furnished. Please refer to the check list. The details so furnished should be complete in all respects and as per the formats prescribed. The applicants may have to produce original documents for verification, as and when called for by BHEL.
- iv. All the Contractors who have participated in Open Tender (OT) of BHEL during last three years and were considered techno-commercially qualified, based on qualification requirement (QR) criteria spelt out in OT, are also required apply as per this notice inviting registration.
- v. Contractors who are registered with this/other units/power sectors are also required to comply with this notice for registration.
- vi. Registration of a contractor shall be valid initially for a period of two years from the date of registration. During the intervening period, registration for additional categories of work and / or increase in eligible value of work may be revised on submission of relevant documents to substantiate the same.
- vii. Contractors are evaluated against specified parameters for Organizational Soundness, Quality Systems and Procedures and Technical Capability. The evaluation methodology for registration of contractors has been enlisted in the ANNEXURE (PARAMETER OF EVALAUTION). The enclosed evaluation methodology shall be treated as information only; the Vendor/Contractor is not required to fill any of this.
- viii. The **GUIDELINES FOR FILLING UP CONTRACTOR REGISTRATION FORM** explains in detail the various sections in contractor registration form and information/data/documents required to be furnished.
- ix. Performance of a contractor during execution of work shall be monitored on a regular basis in a defined manner. In the event of any nonperformance / unsatisfactory performance, BHEL may take appropriate action including delisting.
- x. BHEL reserves the right to ascertain the credentials submitted, in the manner it deems fit.
- xi. BHEL reserves the right to inspect all premises / facilities to confirm the authenticity of information furnished/capabilities mentioned in the application.



- xii. If any of the documents submitted are in a language other than English, a self-attested English translated document shall be submitted.
- xiii. Any information / clarification required by BHEL during evaluation may be provided expeditiously.
- xiv. BHEL GCC has been enclosed with the vendor registration forms. The vendor may read it thoroughly and give their willingness for its acceptance as asked in the forms.
- xv. Submission of the registration form does not automatically guarantee for registration in BHEL.
- xvi. BHEL reserves the right to delete, modify, rename etc. of any of the Groups, categories, Codes, Conditions, procedures etc. given in the registration documents.
- xvii. BHEL will not take any responsibility for delay/loss of documents or correspondence sent by courier/post.
- xviii. BHEL reserves the right to accept or reject any or all applications without assigning any reasons thereof.

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## **Electronics Division, Mysore Road, Banglore-560026**

#### GUIDELINES FOR FILLING UP VENDOR REGISTRATION FORM

1. The Contractor Registration Form has Three sections and each section has its sub section

Section – 1: Organisation Soundness Requirement

Section – 2: Enlistment Details Section – 3: Other Requirement

- 2. Please go through the entire guidelines and instructions given herein for each section. Attach all the documents / credentials as specified /required.
- 3. Fill up the Contractor registration form neatly and clearly in BLOCK LETTERS.
- 4. All the columns are to be filled up. Please write "Not Applicable" or N.A where the particular item is not pertinent/ relevant to the applicant vendor/contractor.
- 5. **EVALUATION OF CONTRACTOR** in ANNXEURE is for BHELs" use and is for information to the vendors only. The contractor is not required to fill up anything in this annexure.

### 6. Section-1: Organisation Soundness Requirement

There are six sub sections under this section named as:-

- 1. Organisation Particulars: As the title suggests, this sub section captures General data on the profile of the company e.g. Name of the Organisation, Address, Contact details etc.
- 2. Organisation Strength: This sub-section covers information on assets of the Organisation e.g. Employee strength, Tools, Plants & machinery.
- 3. Statutory Registration Details:-This sub-section covers all the statutory requirements for carrying out such type of business e.g. Registration No of the Organisation, PAN, Service Tax, ESI/PF registration code.
- 4. Financial Soundness: In this sub-section the vendor has to fill the annual turnover in the last three financial years and copy of C.A certified audited balance sheet has to be enclosed.
- 5. Quality and safety Conformance: This sub-section covers Quality and safety conformance of the vendor e.g. Accreditation to ISO 90001/14000 and OHSAS 18000 and other similar aspects. The vendor has to answer the details in Yes or NO and supported with credentials.
- 6. Experience details: This sub-section Covers experience of the vendor supported by client's performance certificate and existing registration with BHEL/Govt. /Govt. undertakings.

Kindly read all the details carefully and provide complete and precise information in the space provided along with supporting documents, if any. Attach separate sheet in case the space provided is insufficient.

#### 7. Section –2: Enlistment details

This section has two/one section asking category of the specific work and under which class they will be seeking registration .The vendor has to tick ( $\sqrt{}$ ) in one /many category at respective places and will have to mention clearly the class like Class A/ Class B/Class C for which they will be seeking registration. The categorization under class is not applicable for lifts/Escalators/Cage lifts vendors.

## 8. Section-3: Other Requirements

This section covers general aspect as per BHEL policy like EMD, Solvency Certificate, GCC acceptance etc. The vendor has to give acceptance about EMD and GCC by ticking ( $\sqrt{}$ ) Yes/No option. The vendor has to mention their solvency and has to enclose solvency certificate as specified in the form.

## Form-1



# Bharat Heavy Electricals Limited Electronics Division Mysore Road Bangalore-560026

# Application for Registration of Contractors (Heating, Ventillation Air conditioning system and Chilled water system)

I	Organisation Soundness	s Requirements			
1	Organisation Particulars:				
i)	Name of the contractor/ company/ establishment/ firm				
ii)	Constitution or Legal Status Whether Individual/ Partnership/ Limited Company/ PSU/ Others.				
iii)	Registered Address:				
iv)	Contact Person Name, Designation & Nationality				
	a)Address for correspondence:				
v)	b)Tele No./Mobile No./Fax No.				
	c) Email.				

')	(Submit organization chart)	
ii)	Total Number of Persons on roll	
a.	Top Management	
b.	Technical/Executive staff	
C.	Supervisory Staff	
d.	Technical Workmen	
	In case order is placed, whether the	
iii)	contractor/supplier is willing to deploy one Site	Yes/ No.
	Engineer/Site Supervisor at BHEL EDN	
iv)	Tools ,Plant & Machineries	
v)	Test Facilities	
2	Organisation Statutory Re	gistration Details:
3	Organisation Statutory Re (Copy of relevant document/ ce	
3 i)		
	(Copy of relevant document/ ce	
i)	(Copy of relevant document/ ce Registration No.	
i) ii)	(Copy of relevant document/ cell Registration No. PAN. Service Tax registration. VAT registration(If any).	
i) ii) iii) iv) v)	(Copy of relevant document/ ce Registration No. PAN. Service Tax registration. VAT registration(If any). MSME/ NSIC registration (If any).	
i) ii) iii) iv) v) vi)	(Copy of relevant document/ celevant document/ cele	
i) ii) iii) iv) v)	(Copy of relevant document/ celegistration No. PAN. Service Tax registration. VAT registration(If any). MSME/ NSIC registration (If any). E S I registration EPF registration	rtificate to be enclosed)
i) ii) iii) iv) v) vi) vii)	(Copy of relevant document/ ce Registration No. PAN. Service Tax registration. VAT registration(If any). MSME/ NSIC registration (If any). E S I registration EPF registration Medical Coverage/ Insurance policies for employees	rtificate to be enclosed)
i) ii) iii) iv) v) vi)	(Copy of relevant document/ celegistration No. PAN. Service Tax registration. VAT registration(If any). MSME/ NSIC registration (If any). E S I registration EPF registration	rtificate to be enclosed)
i) ii) iii) iv) v) vi) vii)	(Copy of relevant document/ celegistration No.  PAN. Service Tax registration. VAT registration(If any). MSME/ NSIC registration (If any). E S I registration EPF registration Medical Coverage/ Insurance policies for employees other than ESI Covered.	rtificate to be enclosed)
i) ii) iii) iv) v) vi) vii)	(Copy of relevant document/ celegistration No. PAN. Service Tax registration. VAT registration(If any). MSME/ NSIC registration (If any). E S I registration EPF registration Medical Coverage/ Insurance policies for employees other than ESI Covered.  Financial sound	rtificate to be enclosed)  dness:
i) ii) iii) iv) v) vi) vii) viii)	(Copy of relevant document/ celegistration No.  PAN.  Service Tax registration.  VAT registration(If any).  MSME/ NSIC registration (If any).  E S I registration  EPF registration  Medical Coverage/ Insurance policies for employees other than ESI Covered.  Financial sound (Copy of C.A certified audited Bala)	rtificate to be enclosed)  dness:
i) ii) iii) iv) v) vi) vii) viii)	(Copy of relevant document/ celes Registration No. PAN. Service Tax registration. VAT registration(If any). MSME/ NSIC registration (If any). E S I registration EPF registration Medical Coverage/ Insurance policies for employees other than ESI Covered.  Financial sound (Copy of C.A certified audited Bala Annual Turnover for the last three years	rtificate to be enclosed)  dness:
i) ii) iii) iv) v) vi) vii) viii)	(Copy of relevant document/ celegistration No.  PAN.  Service Tax registration.  VAT registration(If any).  MSME/ NSIC registration (If any).  E S I registration  EPF registration  Medical Coverage/ Insurance policies for employees other than ESI Covered.  Financial sound (Copy of C.A certified audited Bala)	rtificate to be enclosed)  dness:

Organisational Strength:

Organization strength (in number):

.....

c.

Two Year before previous year

F	Quality and Safety Conformance						
5	(Copy of relevant document/ ce	rtificate to be enclosed)					
i)	Quality System						
a.	Accreditation to ISO 9001	Yes/ No.					
b.	Accreditation to ISO 14000	Yes/ No.					
c.	In House Quality system(Quality policy be submitted)	Yes/ No.					
d.	No of Customers/Clients worked for in last seven years						
ii)	Safety system						
a.	Accreditation to OSHAS 18000	Yes/ No.					
b.	In House safety system (Safety policy be submitted)	Yes/ No.					
c.	Safety Equipments (Safety shoes, Safety belt, Helmet, Welding Goggles, Gloves etc.)	Yes/ No.					
6	Experience Do  (Copy of relevant document/ ce						
i)	List of Works/Contracts Executed Copies of the Work order and Client's performance certificates detailing about :- a.Time of completion b.Quality of Service/work c.Value of work Completed d.Nature of work						
ii)	Whether registered with any BHEL,Govt/Govt Undertakings (Copies of the latest registration to be enclosed)	Yes/ No.					
iii)	Whether the vendor carried out any similar type of work in BHEL EDN for which they are seeking registration.	Yes/ No.					

II	Enlistmentment Details						
1	Category of Work a	Category of Work applied for:					
i)	Supply, Erection, Testing and Commissioning Works of Centralised Heating, Ventillation, Air conditioning System (HVAC)/Chilled water system.						
ii)	Supply, Erection, Testing and Commissioning Works of Air conditioning System with Window/Split/Tower Air Conditioners.						
iii)	Operation of Centralised HVAC/Chilled Water System.						
iV)	Repairs&Maintenance (Annual Maintenance Contract) of Centralised HVAC/Chilled water system						
v)	Repairs & Maintenance (Annual Maintenance Contract) of Window/Split/Tower Air Conditioners.						

2	Class of Registration	applied for:
	1. Class- A For Works up to Rs. 50 Lakhs 2. Class - B For Works up to Rs. 25 Lakhs 3. Class - C For Works up to Rs. 10 Lakhs (Relevant work completion certificate(s) from the client for work completed not older than seven years shall be submitted as below. Copies of work order is not adequate. (Not meeting this conditions will disqualify the registration)	
a)	One work not less than 80% of the highest value of the relevant class & category applied.	
	or	
b)	Two works of not less than 50 % of the highest value of the relevant class & category applied.	
	or	
c)	Three works of not less than 40 % of the highest value of the relevant class &category applied.	

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III	Other Require	ments
i)	Whether the Contractor desires to  a) Pay One time EMD (Rs.2.0 Lakhs) for exemption from payment of Earnest Money with every Tender. or b) Pay Earnest Money along with every tender. ( Please specify the relevant option)	a) Yes/No b) Yes/No
ii)	Average Turnover of the last Three Financial years (Average Turn over should be at least 30% of the amount under respective registration class sought) (CA certified copies shall be enclosed) (Not meeting this conditions will disqualify or qualify for lower class for the registration)  1. Class- A - (Not Less Than) Rs.15 Lakhs  2. Class - B -(Not Less Than)Rs. 7.5 Lakhs  3. Class - C - (Not Less Than)Rs. 3 Lakhs	
iii)	Solvency certificate from Bank not older than one year for 30% of the amount under respective Registration class sought. (Copy of certificate to be enclosed) (Not meeting this conditions will disqualify or qualify for lower class for the registration) 1. Class- A - (Not Less Than) Rs.15 Lakhs 2. Class - B -(Not Less Than)Rs. 7.5 Lakhs 3. Class - C - (Not Less Than)Rs. 3 Lakhs	
iv)	Whether the applicant has under his employment any dismissed Govt. servant / employee of BHEL? If yes, give the details of the person (s)	
v)	Whether the applicant or any of his partners has been anywhere blacklisted/ removed from the list of approved contractors/ demoted to lower class/ orders passed banning / suspending business with the applicant etc. (BHEL has the right to suspend, remove, demote, blacklist a contractors name in such circumstances)	
vi)	Whether the applicant has read the BHEL General Conditions Of the Contract (GCC) and agreeable to abide by them.	

A list of those persons, who are working with the applicant in any capacity and who are near relatives to any officer in BHEL, should be submitted. The applicant should also intimate the names of such persons who are employed by him subsequently.

### **NOTE:**

- 1. The contractors are not entitled to participate in the tender for doing works in BHEL, if their near relative is working in BHEL. Any breach of this condition may debar the participant from enlistment. By the term relative is meant wife, husband, parents, grand parents, children, grand children, brothers and sisters, uncles, aunts and cousin and their corresponding in-laws.
- 2. The tenderers whose contracts were terminated and disqualified due to unsatisfactory performance during the execution of a contract in BHEL or any other Govt/ Govt undertaking companies are not eligible to participate in this Registration Process.

## **DECLARATION**

- \* I/ We certify that I/ We will not get myself/ ourselves enlisted as contractor(s) in this undertaking more than one name.
- \* I certify that I did not retire as an employee of BHEL during last 2 years. I also certify that I have neither such a person under my employment nor shall I employ any such person within two years of his retirement from BHEL except with prior permission of BHEL(For individual seeking enlistment in their own names).
- \* We certify that none of the partners /directors retired as an employee of BHELduring last two years. We also certify that I have neither such a person under my employment nor shall I employ any such person within two years of his retirement from BHEL except with prior permission of BHEL (For limited or partnership firms).

Date:	Signature of the Contractor
	Address:

## ANNEXURE- I

SI no	Name of the Work	Year of	Value in	Name of the	Completion Period			
31 110	Name of the Work	execution	Rs. Lakhs	Client	Alloted	Actual		
			1					
					1			

	CHECK LIST						
S.NO	DARTICIU ARC / DETAILC / ENCLOSURES	TICK AS A	PPLICABLE				
3.NO	PARTICULARS /DETAILS/ ENCLOSURES	Yes	No				
	All the parts of the Pages & Enclosures have been signed by						
1	the Authorised signatory.						
2	Filled up Applicant Particulars						
3	Filled up Organisation Particulars						
а	Organisation chart furnished						
b	Tool & Plant, Machineries details furnished						
С	Test Facility list furnished						
4	Statutory Requirement						
а	Registration under Company's Establishment Act.						
b	Copy of PAN details furnished						
С	Copy of Service Tax registration furnished						
d	Copy of VAT registration furnished						
е	Copy of MSME/ NSIC registration furnished.						
f	Copy of ESI registration furnished.						
g	Copy of EPF registration furnished.						
h	Copy of Electrical Contractor License furnished.						
5	Category of work selected						
6	Class of work selected						
а	Work Experience certificate furnished						
7	Accreditation Details						
а	ISO 9000 certificate copy furnished.						
b	ISO 14000 certificate copy furnished.						
С	ISO 18000 certificate copy furnished.						
8	Other Requirement						
а	Turnover certificate furnished						
b	Solvency Certificate furnished						
	Registration copy with any Govt/ Govt undertaking						
С	companies						

Contractor

## (FOR BHEL USE ONLY)

## **Parameters of Evaluation**

	PART -A Organisational Soundness									
S.N.	PARAMETER	Qualifying Criteria	Marks	Max Marks	Marks awarded					
a.	Organisation strength									
1	Technical/Executive Staff	1	10	20						
1	Technical Executive Staff	>1	20	20						
		2	10							
2	Supervisory Staff	3 to 5	15	20						
		>5	20							
		5	5							
3	Technical workmen	5 to 10	10	20						
		>10	20							
	Plant, Machine &Tools as below list:- a.Psling Pshyrometer b.Anemometer c.Electric Arc Welding set d.Gas Welding Set e. Vacuum Pump f. Refrigerant Evacuating Pump. g. Tool set of wrenches, Spanners & pliers h.Pressure gauge & Vacuum Gauge.	50% of list	20							
		75% of list	30							
4		100% of list	40	40						
		Total	ı	100						

	PART -B Quality & Safety Conformance								
S.N.	Quality Aspect	Qualifying Criteria	Marks	Max Marks	Marks awarded				
		Accredited	15	45					
1	Accreditation to ISO 9001,14000	No	0	15					
2	In House Quality System	Yes	15	15					
4	III House Quality System	No	0	13					
	No of Customers Worked for in last seven Years	5	10	20					
3		5 to 8	15						
		> 8	20						
4	Accreditation to OHSAS 18000	Yes	15	15					
4	Accieuitation to Oh3A3 18000	No	0	13					
5	In House safety system	Yes	15	15					
Э	In House safety system	No	0	12					
6	Safety Equipments (Safety shoes, Safety belt,	Yes	20	20					
0	Helmet, Welding Goggles, Gloves etc.)	No	0	20					
		Total		100					

	PART -C Statutor	y Criteria			
S.N.	Parameter		Marks	Max Marks	Marks awarded
		Furnished	25		
1	Registration no	Not	0	25	
		Furnished	U		
		Furnished	25		
2	PAN.	Not	0	25	
		Furnished	U		
		Furnished	25		
3	Service Tax registration.	Not	0	25	
		Furnished	U		
	E S I registration	Yes	25		
5	(Vendor not registered with ESI but willing to abide	Willing to		25	
	by Prevailing ESI Rules )	Abide by ESI	10	23	
		Rule			
		Total		100	

	PART -D Essential Conditions									
			Marks	Max Marks	Marks awarded					
	Work Completion Certificate Furnished	Yes	25							
1	Work Completion Certificate Furnished	No	0	20						
	Turn over	Yes	25							
2	Tulli ovei	No								
	Solvency Certificate	Yes	25							
3	Solvency Certificate	No	0	25						
	Acceptance of GCC (General Conditions of	Yes	25							
4	Contract)	No	0	25						
		Total	•	100						

	PART -E Final Recommendation (Table -1)													
S.N.	Parameter	Max Marks	Qualifying Marks	Marks Obtained	Qualified/ Not Qualified/ Qualified for lower category									
1	Organisational Soundness	100	45											
2	Quality & Safety	100	30											
3	Statutory criteria	100	85											
4	<b>Essential Conditions</b>	100	100											

	Recommendation for Registration of Contractor (Table -2)											
S. N.	Category Class Max. Value of works (in Lakhs)											
1												
2												
3												
4												



# Form-2 Bharat Heavy Electricals Limited Electronics Division Mysore Road

## Bangalore-560026

## **Application for Registration of Contractors (Electrical)**

I	Organisation Soundness Requirements										
1	Organisation Particulars:										
i)	Name of the contractor/ company/ establishment/ firm										
ii)	Constitution or Legal Status Whether Individual/ Partnership/ Limited Company/ PSU/ Others.										
iii)	Registered Address:										
iv)	Contact Person Name, Designation & Nationality										
v)	a) Address for correspondence: b) Tele No./Mobile No./Fax No. c) Email										

2	Organisational Strength:										
i)	Organization strength (in number):										
''	(Submit organization chart)										
ii)	Total Number of Persons on roll										
a.	Top Management										
b.	Technical/Executive staff										
c.	Supervisory Staff										
d.	Technical Workmen										
iii)	Incase order is placed, whether the contractor/supplier is willing to deploy one Site Engineer/Site Supervisor at BHEL EDN										
iv)	Tools, Plant & Machineries										
v)	Test Facilities										

3	Organisation Statutory Registration Details:  (Copy of relevant document/ certificate to be enclosed)										
i)	Registration No.										
ii)	PAN.										
iii)	Service Tax registration.										
iv)	VAT registration (if any)										
v)	MSME/ NSIC registration (if any)										
vi)	E S I registration										
vii)	EPF registration										
viii)	Medical Coverage/ Insurance policies for employees										
viii)	other than ESI Covered.										

4	Financial sound	dness:
4	(Copy of CA certified audited Balai	nce sheet to be enclosed)
i)	Annual Turnover for the last three years	
a.	Previous Year	
b.	One Year before previous year	
C.	Two Year before previous year	

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_	Quality and Safety Co	onformance						
5	(Copy of relevant document/ certificate to be enclosed)							
i)	Quality System							
a.	Accreditation to ISO 9001							
b.	Accreditation to ISO 14000							
c.	In House Quality system(Quality policy to be							
С.	submitted)							
d.	No of Customers/clients worked for in last seven							
u.	years							
ii)	Safety system							
a.	Accreditation to OSHAS 18000							
b.	In House safety system (Safety policy to be submitted)							
	Safety Equipment (Safety shoes, Safety belt, Helmet,							
C.	Welding Goggles, electric gloves etc.)							

6	Experience Details							
	(Copy of relevant document/ cer	rtificate to be enclosed)						
	List of works/Contracts Executed							
	(Copies of the work order and clients performance							
i)	certificates detailing about :-							
''	a. Time of completion							
	b. Quality of Service/work							
	c. Value of work Completed )							
	Whether registered with any BHEL, Govt/ Govt							
ii)	Undertakings.							
	(copies of latest registration to be enclosed)							
	Whether the vendor had carried out any similar type							
iii)	of work in BHEL EDN for which they are seeking							
'''',	registration. (If yes, Work Order copy to be enclosed)							

II	Enlistment Details										
1	Category of Work applied for:										
:\	LT Power Distribution work (including illumination &										
i)	ventilation) for Industrial/ Office buildings										
ii)	HT Power Distribution work for Industrial/ Office										
"'	buildings										
	Maintenance of:	Specify the sub group(s) applying for									
iii)	i) Illumination & Ventilation										
	ii) Fire Alarm Systems										
	Operation & Maintenance of Service Plants	Specify the sub group(s) applying for									
	i) Diesel Generators.										
iv)	ii) Passenger Lifts.										
'''	iii) Goods Lifts.										
	iv) Passenger cum Goods Lifts.										
	v) UPS systems										
	Minor Electrical Works	Specify the sub group(s) applying for									
	i) Transformer Repair.										
,,,	ii) Oil filtration										
v)	iii) Relay & Meter Calibration										
	iv) Cable Fault Location & Cable Jointing										
	v) Circuit Breaker Repairs (oil, vacuum & air CB)										

2	Class of Registration	applied for:
	1. Class A - For Works up to Rs. 25 Lakhs	
	2. Class B - For Works up to Rs.15 Lakhs	
	3. Class C - For Works up to Rs. 5 Lakhs	
	(Relevant work completion certificate(s) from the	
i)	client for work completed not older than seven years	
	shall be submitted as below. Copies of work order is	
	not adequate.	
	(Not meeting this conditions will disqualify the	
	registration)	
a)	One work not less than 80% of the highest value of	
a)	the relevant class & category applied.	
	or	
	Two works of not less than 50 % of the highest value	
b)	of the relevant class & category applied.	
	or	
	Three works of not less than 40 % of the highest value	
c)	of the relevant class &category applied.	

•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	

III	Other Requirements						
i)	Whether the Contractor desires to a) Pay One time EMD (Rs.2.0 Lakhs) for exemption from payment of Earnest Money with every Tender. or b) Pay Earnest Money along with every tender. ( Please specify the relevant option)	Yes/ No Yes/ No					
ii)	Average Turnover of the last Three Financial years (Average Turn over should be at least 30% of the amount under respective registration class sought) (CA certified copies shall be enclosed) (Not meeting this conditions will disqualify or qualify for lower class for the registration)  1. Class A - (Not Less Than) Rs. 7.5 Lakhs  2. Class B - (Not Less Than) Rs. 4.5 Lakhs  3. Class C - (Not Less Than) Rs. 1.5 Lakhs						
iii)	Solvency certificate from Bank not older than one year for 30% of the amount under respective Registration class sought. (Copy of certificate to be enclosed) (Not meeting this conditions will disqualify or qualify for lower class for the registration) 1. Class A - (Not Less Than) Rs. 7.5 Lakhs 2. Class B - (Not Less Than) Rs. 4.5 Lakhs 3. Class C - (Not Less Than) Rs. 1.5 Lakhs						
iv)	Whether the applicant has under his employment any dismissed Govt. servant / employee of BHEL? If yes, give the details of the person (s)						
v)	Whether the applicant or any of his partners has been anywhere blacklisted/ removed from the list of approved contractors/ demoted to lower class/ orders passed banning / suspending business with the applicant etc.  (BHEL has the right to suspend, remove, demote, blacklist a contractors name in such circumstances)						
vi)	Whether the applicant has read the BHEL General Conditions Of the Contract (GCC) and agreeable to abide by them.						

A list of those persons, who are working with the applicant in any capacity and who are near relatives to any officer in BHEL, should be submitted. The applicant should also intimate the names of such persons who are employed by him subsequently.

### **NOTE:**

- 1. The contractors are not entitled to participate in the tender for doing works in BHEL, if their near relative is working in BHEL. Any breach of this condition may debar the participant from enlistment. By the term relative is meant wife, husband, parents, grand parents, children, grand children, brothers and sisters, uncles, aunts and cousin and their corresponding in-laws.
- 2. The tenderers whose contracts were terminated and disqualified due to unsatisfactory performance during the execution of a contract in BHEL or any other Govt/ Govt undertaking companies are not eligible to participate in this Registration Process.

## **DECLARATION**

- \* I/ We certify that I/ We will not get myself/ ourselves enlisted as contractor(s) in this undertaking more than one name.
- \* I certify that I did not retire as an employee of BHEL during last 2 years. I also certify that I have neither such a person under my employment nor shall I employ any such person within two years of his retirement from BHEL except with prior permission of BHEL (For individual seeking enlistment in their own names).
- \* We certify that none of the partners /directors retired as an employee of BHEL during last two years. We also certify that I have neither such a person under my employment nor shall I employ any such person within two years of his retirement from BHEL except with prior permission of BHEL (For limited or partnership firms).

Date:	Signature of the Contractor
	Address

## ANNEXURE- I

SI no	Name of the Work	Year of	Value in	Name of the	Completion Period			
31 110	Name of the Work	execution	Rs. Lakhs	Client	Alloted	Actual		
			1					
					1			

CHECK LIST								
S.NO	DARTICIU ARC / DETAILC / ENCLOSURES	TICK AS A	PPLICABLE					
3.NO	PARTICULARS /DETAILS/ ENCLOSURES	Yes	No					
	All the parts of the Pages & Enclosures have been signed by							
1	the Authorised signatory.							
2	Filled up Applicant Particulars	illed up Applicant Particulars						
3	Filled up Organisation Particulars							
а	Organisation chart furnished							
b	Tool & Plant, Machineries details furnished							
С	Test Facility list furnished							
4	Statutory Requirement							
а	Registration under Company's Establishment Act.							
b	Copy of PAN details furnished							
С	Copy of Service Tax registration furnished							
d	Copy of VAT registration furnished							
е	Copy of MSME/ NSIC registration furnished.							
f	Copy of ESI registration furnished.							
g	Copy of EPF registration furnished.							
h	Copy of Electrical Contractor License furnished.							
5	Category of work selected							
6	Class of work selected							
а	Work Experience certificate furnished							
7	Accreditation Details							
а	ISO 9000 certificate copy furnished.							
b	ISO 14000 certificate copy furnished.							
С	ISO 18000 certificate copy furnished.							
8	Other Requirement							
а	Turnover certificate furnished							
b	Solvency Certificate furnished							
	Registration copy with any Govt/ Govt undertaking							
С	companies							

Contractor

## (FOR BHEL USE ONLY)

## **Parameters of Evaluation**

	PART -A Organisational Soundness								
S.N.	PARAMETER	Qualifying	Marks	Max	Marks				
3.IV.		Criteria	IVIdIKS	Marks	awarded				
a.	Organisation strength								
1	Technical/Executive Staff	1	10	20					
_	Technical Executive Staff	>1	20	20					
		2	10						
2	Supervisory Staff	3 to 5	15	20					
		>5	20						
		5	5						
3	Technical workmen	5 to 10	10	20					
		>10	20						
	Plant,Machine &Tools as below list:- a. Multimeter b. Clampmeter c. Earth Ressistance meter d. Insulation resistance (IR Meter) e. Crimping Tools kit f. Cable cutter g. Drilling Machine h. Ladder i. Tool set of wrenches, spanners & pliers	50% of list	20						
		75% of list	30						
4		100% of list	40	40					
		Total	ı	100					

	PART -B Quality & Safe	ty Conformand	e		
S.N.	Quality Aspect	Qualifying Criteria	Marks	Max Marks	Marks awarded
	Accreditation to ISO 9001 14000	Accredited	15	45	
1	Accreditation to ISO 9001,14000	No	0	15	
2	In House Quality System	Yes	15	15	
2	III House Quality System	No	0	13	
	No of Customers Worked for in last seven Years	5	10		
3		5 to 8	15	20	
		> 8	20		
4	Accreditation to OHSAS 18000	Yes	15	15	
4	Accieutation to Ohsas 18000	No	0	13	
5	In House cafety system	Yes	15	15	
)	In House safety system	No	0	13	
6	Safety Equipment (Safety shoes, Safety belt,	Yes 20		20	
	Helmet, Welding Goggles, electric gloves etc.)	No	0	20	
		Total		100	

	PART - C Statuto	ry Criteria			
S.N.	Parameter		Marks	Max Marks	Marks awarded
	Dogistration no	Furnished	25	25	
1	Registration no	Not Furnished	0	25	
		Furnished	25		
2	PAN.	Not	0	25	
		Furnished	0		
		Furnished	25	25	
3	Service Tax registration.	Not	0		
		Furnished	U		
	E S I registration	Yes	25		
5	(In case not registered with ESI whether Vendor	Willing to		25	
3	willing to abide by Prevailing ESI Rules	Abide by ESI	10	25	
		Rule			
-	•	Total	•	100	<u>'</u>

	PART - D Essential	Conditions			
			Marks	Max Marks	Marks awarded
	Work Completion Certificate	Yes	25		
1	Work Completion Certificate	No	0	25	
	Turn over	Yes	25		
2		No	0	25	
	Solvency Certificate	Yes	25		
3	Solvency Certificate	No	0	25	
	Acceptance of GCC (General Conditions of	Yes	25		
4	Contract)	No	0	25	
		Total	•	100	

	PAR	PART - E Final Recommendation (Table -1)								
S.N.	Parameter	Max Marks	Qualifying Marks	Marks Obtained	Qualified/ Not Qualified/ Qualified for lower category					
1	Organisational Soundness	100	45							
2	Quality & Safety	100	30							
3	Statutory criteria	100	85							
4	Essential Conditions	100	100							
	Total									

	Recommendation for Registration of Contractor (Table -2)							
S. N.	I. Category Class Max. Value of works (in La							
1								
2								
3								
4								



## **Application for Registration of Contractors / Suppliers for Lifts**

Organisation Soundness Requirements					
Organisation Particulars:					
Name of the contractor/ company/ establishment/ firm					
Constitution or Legal Status Whether Individual/ Partnership/ Limited Company/ PSU/ Others.					
Registered Address:					
Contact Person Name, Designation & Nationality					
a)Address for correspondence: b)Tele No./Mobile No./Fax No. c) Fmail					
	Name of the contractor/ company/ establishment/ firm  Constitution or Legal Status Whether Individual/ Partnership/ Limited Company/ PSU/ Others.  Registered Address:  Contact Person Name, Designation & Nationality  a)Address for correspondence:				

2	Organisational S	trength:
i)	Organization strength (in number):	
1)	(Submit organization chart)	
ii)	Total Number of Persons on roll	
a.	Top Management	
b.	Technical/Executive staff	
c.	Supervisory Staff	
d.	Technical Workmen	
	In case order is placed, whether the	
iii)	contractor/supplier is willing to deploy one Site	Yes/ No.
	Engineer/Site Supervisor at BHEL EDN	
iv)	Tools ,Plant & Machineries	
v)	Test Facilities	

3	Organisation Statutory Registration Details: (Copy of relevant document/ certificate to be enclosed)						
i)	Registration No.						
ii)	PAN.						
iii)	Service Tax registration.						
iv)	VAT registration(If any).						
v)	MSME/ NSIC registration (If any).						
vi)	E S I registration						
vii)	EPF registration						
viii)	Medical Coverage/ Insurance policies for employees						
VIII)	other than ESI Covered.						

4	Financial soundness:							
4	(Copy of C.A certified audited Balance	ce sheet to be enclosed)						
i)	Annual Turnover for the last three years							
a.	Previous Year							
b.	One Year before previous year							
c.	Two Year before previous year							

• •	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•

5	Quality and Safety Conformance									
3	(Copy of relevant document/ certificate to be enclosed)									
i)	Quality System									
a.	Accreditation to ISO 9001	Yes/ No.								
b.	Accreditation to ISO 14000	Yes/ No.								
C.	In House Quality system(Quality policy be submitted)	Yes/ No.								
d.	No of Customers/clients worked for in last seven years									
ii)	Safety system									
a.	Accreditation to OSHAS 18000	Yes/ No.								
b.	In House safety system (Safety policy be submitted)	Yes/ No.								
C.	Safety Equipments (Safety shoes, Safety belt, Helmet, Welding Goggles, Gloves etc.)	Yes/ No.								

6	Experience Do	etails
	(Copy of relevant document/ ce	rtificate to be enclosed)
	List of Works/Contracts Executed	
	Copies of the Work order and Client's performance	
	certificates detailing about :-	
i)	a.Time of completion	
	b.Quality of Service/work	
	c.Value of work Completed	
	d.Nature of work	
	Whether registered with any BHEL,Govt/Govt	
ii)	Undertakings (Copies of the latest registration to be	Yes/ No.
	enclosed)	
	Whether the vendor carried out any similar type of	
iii)	work in BHEL EDN for which they are seeking	Yes/ No.
	registration.	

II	Enlistment De	etails						
1	Category of Work applied for:							
i)	Supply, Erection, Testing and Commissioning Works of Lifts (Passenger/Cargo), Escalators							
ii)	Supply, Erection, Testing and Commissioning Works of Cage Lifts							

III	Other Require	ments
i)	Whether the Contractor desires to a.) Pay One time EMD (Rs.2.0 Lakhs) for exemption from payment of Earnest Money with every Tender. or b.) Pay Earnest Money along with every tender. ( Please specify the relevant option)	a.) Yes/No b.) Yes/No
ii)	Average Turnover of the last Three Financial years  a.)Average Turn over should be at least Rs.100 crores for Lifts(Passenger/Cargo) and Escalators.  b.)Average Turn over should be at least Rs.5 crores for cage Lifts.  (CA certified copies shall be enclosed)  (Not meeting this conditions will disqualify from the registration)	
iii)	Nos. of installations duly certified by Electrical inspectorate carried out in last three financial years.  a.) Minimum 25 nos for Lifts (Passenger/Cargo) and Escalators each year.  b.)Minimum 20 nos for cage Lifts each year.	
iii)	Whether the applicant has under his employment any dismissed Govt. servant / employee of BHEL? If yes, give the details of the person (s)	
iv)	Whether the applicant or any of his partners has been anywhere blacklisted/ removed from the list of approved contractors/ demoted to lower class/ orders passed banning / suspending business with the applicant etc.  (BHEL has the right to suspend, remove, demote, blacklist a contractors name in such circumstances)	
v)	Whether the applicant has read the BHEL General Conditions Of the Contract (GCC) and agreeable to abide by them.	
vi)	A list of those persons, who are working with the applicant in any capacity and who are near relatives to any officer in BHEL, should be submitted. The applicant should also intimate the names of such persons who are employed by him subsequently.	

## NOTE:

- 1. The contractors are not entitled to participate in the tender for doing works in BHEL, if their near relative is working in BHEL. Any breach of this condition may debar the participant from enlistment. By the term relative is meant wife, husband, parents, grand parents, children, grand children, brothers and sisters, uncles, aunts and cousin and their corresponding in-laws.
- 2. The tenderers whose contracts were terminated and disqualified due to unsatisfactory performance during the execution of a contract in BHEL or any other Govt/ Govt undertaking companies are not eligible to participate in this Registration Process.

#### **DECLARATION**

- \* I/ We certify that I/ We will not get myself/ ourselves enlisted as contractor(s) in this undertaking more than one name.
- \* I certify that I did not retire as an employee of BHEL during last 2 years. I also certify that I have neither such a person under my employment nor shall I employ any such person within two years of his retirement from BHEL except with prior permission of BHEL(For individual seeking enlistment in their own names).
- \* We certify that none of the partners /directors retired as an employee of BHELduring last two years. We also certify that I have neither such a person under my employment nor shall I employ any such person within two years of his retirement from BHEL except with prior permission of BHEL (For limited or partnership firms).

Date:	Signature of the Contractor
	Address

## ANNEXURE- I

SI no	Name of the Work	Year of	Value in	Name of the	Completion Period				
31 110		execution	Rs. Lakhs	Client	Alloted	Actual			
			1		1				
			1						
			1						

Contractor

CHECK LIST						
S.NO	DARTICIU ARC /DETAUC/ ENCLOSURES	TICK AS A	PPLICABLE			
3.NO	PARTICULARS /DETAILS/ ENCLOSURES	Yes	No			
	All the parts of the Pages & Enclosures have been signed by					
1	the Authorised signatory.					
2	Filled up Applicant Particulars					
3	Filled up Organisation Particulars					
а	Organisation chart furnished					
b	Tool & Plant, Machineries details furnished					
С	Test Facility list furnished					
4	Statuory Requirement					
a	Registration under Company's Establishment Act.					
b	Copy of PAN details furnished					
С	Copy of Service Tax registration furnished					
d	Copy of VAT registration furnished					
е	Copy of MSME/ NSIC registration furnished.					
f	Copy of ESI registration furnished.					
g	Copy of EPF registration furnished.					
h	Copy of Electrical Contractor License furnished.					
5	Category of work selected					
6	Class of work selected					
a	Work Experience certificate furnished					
7	Accreditation Details					
a	ISO 9000 certificate copy furnished.					
b	ISO 14000 certificate copy furnished.					
С	ISO 18000 certificate copy furnished.					
8	Other Requirement					
a	Turnover Certificate furnished					
b	Solvency Certificate furnished					
	Registration copy with any Govt/ Govt undertaking					
С	companies					

Contractor

	(FOR BHEL USE ONLY)				
	Parameters of Evaluation				
	PART -A Organisational So		1		
S.N.	PARAMETER	Qualifying Criteria	Marks	Max Marks	Marks awarded
a.	Organisation strength				
1	Technical/Executive Staff	10	10	20	
	Teelinical, Exceeding Starr	>10	20		
2		10	10		
	Supervisory Staff	10 to 15	15		
		>15	20		
3		10	5	1	
		10 to 15	10		
	Technical workmen	>15	20		
4	Whether No of installation duly certified by Electrical inspectorate carried out in last three financial years is as below.  a.) Minimum 25 nos for Lifts (Passenger/Cargo) and	Yes	40	40	
	Escalators each year. b.)Minimum 20 nos for cage Lifts each year.	No.	0		
		Total		100	
	PART -B Quality & Safety Co	nformance			
S.N.	Quality Aspect	Qualifying Criteria	Marks	Max Marks	Marks awarded
		Accredited	15		
1	Accreditation to ISO 9001,14000	No	0	15	
2	In House Quality System	Yes	15	15	
	· ' '	No 	0		
3	No of Customers Worked for in last seven Years	> 40	20	20	
3	INO OF CUSTOMERS WORKED FOR INTIAST SEVERITIENTS	25 to 40 25	15 10	20	
		Yes	15		
4	Accreditation to OHSAS 18000	No	0	15	
_	In Have a refer to section	Yes	15	4.5	
5	In House safety system	No	0	15	
6	Safety Equipments shoes, Safety belt, Helmet, Welding	Yes	20	20	
	Goggles, Gloves etc.)	No	0		
		Total		100	

		PΔRT	-C Statutory C	riteria						
S.N.	Paramet		-c Statutory Ci	The training to the training t	Marks	Max Marks	Marks awarded			
1		Furnished	25	25						
	Registration no				Not Furnished	0				
		Furnished	25							
2	PAN.	Not Furnished	0	25						
				Furnished	25	25				
3	Service Tax registration.	Not Furnished	0							
5	E S I registration	Yes	25							
	(Vendor not registered with ESI but willing to abide by Prevailing ESI Rules )			Willing to		25				
				Abide by ESI Rule	10					
		Total		100						
	DART D Formative or divinor									
	PART -D Essential Conditions					Max Marks	Marks awarded			
	Work Completion Certificate Furni	Yes	30							
1	· •									
				No	0	30				
2	Turn over			Yes	0 40					
2				Yes No	0 40 0	30 40				
2	Turn over Acceptance of GCC (General Condi	tions of Co	ontract)	Yes No Yes	0 40	40				
		tions of Co	ontract)	Yes No	0 40 0 30 0					
			·	Yes No Yes No Total	0 40 0 30 0	40 30				
		PART -E	Final Recommo	Yes No Yes No Total	0 40 0 30 0	30 100				
4			·	Yes No Yes No Total	0 40 0 30 0	30 100	Qualified			
4	Acceptance of GCC (General Condi	PART -E	Final Recommo	Yes No Yes No Total endation Marks	0 40 0 30 0	30 100	Qualified			
4 S.N.	Acceptance of GCC (General Condi	PART -E Max Marks	Final Recommo Qualifying Marks	Yes No Yes No Total endation Marks	0 40 0 30 0	30 100	Qualified			
4 S.N.	Acceptance of GCC (General Condi	PART -E Max Marks	Final Recomme Qualifying Marks 65	Yes No Yes No Total endation Marks	0 40 0 30 0	30 100	Qualified			
4 S.N. 1 2	Acceptance of GCC (General Condi	PART -E Max Marks 100 100	Final Recomme Qualifying Marks 65 70	Yes No Yes No Total endation Marks	0 40 0 30 0	30 100	Qualified			

#### Form-4



## Bharat Heavy Electricals Limited Electronics Division Mysore Road

#### Bangalore-560026

Application for Registration of Contractors Miscellaneous Works Such as Plumbing Works, Compressors, Pest Control& Pied -piper Services, O&M of of 300 KLD Waste Water Treatment Plant, Water Purifier Cum Cooler

I	Organisation Soundness Requirements				
1	Organisation Particulars:				
i)	Name of the contractor/ company/ establishment/ firm				
ii)	Constitution or Legal Status Whether Individual/ Partnership/ Limited Company/ PSU/ Others.				
iii)	Registered Address:				
iv)	Contact Person Name, Designation & Nationality				
	a)Address for correspondence:				
v)	b)Tele No./Mobile No./Fax No. c) Email.				
2	Organisational Strengt	h:			
i)	Organization strength (in number): (Submit organization chart)				
ii)	Total Number of Persons on roll				
a.	Top Management				
b.	Technical/Executive staff				
C.	Supervisory Staff				
d.	Technical Workmen				

	In case order is placed, whether the contractor/supplier is willing to deploy one Site Engineer/Site Supervisor at BHEL EDN	Yes/ No.
iv)	Tools ,Plant & Machineries	
v)	Test Facilities	

_	Organisation Statutory Re	gistration Details:
3	(Copy of relevant document/ certificate to be enclosed)	
i)	Registration No.	
ii)	PAN.	
iii)	Service Tax registration.	
iv)	VAT registration(If any).	
v)	MSME/ NSIC registration (If any).	
vi)	E S I registration	
vii)	EPF registration	
viii)	Medical Coverage/ Insurance policies for employees	Yes/ No.
V111)	other than ESI Covered.	163/ 140.

4	Financial sound	dness:
4	(Copy of C.A certified audited Bala	nce sheet to be enclosed)
i)	Annual Turnover for the last three years	
a.	Previous Year	
b.	One Year before previous year	
c.	Two Year before previous year	

5	Quality and Safety Co	onformance	
	(Copy of relevant document/ certificate to be enclosed)		
i)	Quality System		
a.	Accreditation to ISO 9001	Yes/ No.	
b.	Accreditation to ISO 14000	Yes/ No.	
c.	In House Quality system(Quality policy be submitted)	Yes/ No.	
d.	No of Customers/clients worked for in last seven years		
ii)	Safety system		
a.	Accreditation to OSHAS 18000	Yes/ No.	
b.	In House safety system (Safety policy be submitted)	Yes/ No.	
c.	Safety Equipments (Safety shoes, Safety belt, Helmet, Welding Goggles, Gloves etc.)	Yes/ No.	

 • • •	 	 	

6	Experience De	etails
	(Copy of relevant document/ cer	rtificate to be enclosed)
i)	List of Works/Contracts Executed Copies of the Work order and Client's performance certificates detailing about :- a.Time of completion b.Quality of Service/work c.Value of work Completed d.Nature of work	
ii)	Whether registered with any BHEL,Govt/Govt Undertakings (Copies of the latest registration to be enclosed)	_
iii)	Whether the vendor carried out any similar type of work in BHEL EDN for which they are seeking registration.	

II	Enlistment Details		
1	Category of Work applied for:		
i)	Supply, Erection, Testing and Commissioning Works of Compressors for Pressure up to 10Kg/CM2)		
ii)	Supply, Erection, Testing and Commissioning Works of Piping. (Plumbing works)		
iii)	Pest Control & Pied Piper Services		
iV)	Operation and Maintenance of Waste Water Treatment Plant of capacity not less than 300 KLD		
V)	Supply, Erection, Testing and Commissioning of Water Purifier cum Coolers		

2	Class of Registration	applied for:
i)	<ol> <li>Class- A For Works up to Rs. 25 Lakhs</li> <li>Class - B For Works up to Rs. 10 Lakhs</li> <li>Class - C For Works up to Rs. 05 Lakhs</li> <li>(Relevant work completion certificate(s) from the client for work completed not older than seven years shall be submitted as below. Copies of work order is not adequate.</li> <li>(Not meeting this conditions will disqualify the registration)</li> </ol>	
a)	One work not less than 80% of the highest value of the relevant class & category applied.	

	or	
b)	Two works of not less than 50 % of the highest value of the relevant class & category applied.	
	or	

III	Other Requirements				
i)	Whether the Contractor desires to  a) Pay One time EMD (Rs.2.0 Lakhs) for exemption from payment of Earnest Money with every Tender. or  b) Pay Earnest Money along with every tender. ( Please specify the relevant option)	a) Yes/No b) YES/No.			
ii)	Average Turnover of the last Three Financial years (Average Turn over should be at least 30% of the amount under respective registration class sought) (CA certified copies shall be enclosed) (Not meeting this conditions will disqualify or qualify for lower class for the registration)  1. Class- A - (Not Less Than) Rs. 7.5 Lakhs  2. Class - B -(Not Less Than) Rs. 3 Lakhs  3. Class - C - (Not Less Than)Rs. 1.5 Lakhs				
iii)	Solvency certificate from Bank not older than one year for 30% of the amount under respective Registration class sought. (Copy of certificate to be enclosed) (Not meeting this conditions will disqualify or qualify for lower class for the registration) 1. Class- A - (Not Less Than) Rs.7.5 Lakhs 2. Class - B -(Not Less Than) Rs. 3 Lakhs 3. Class - C - (Not Less Than) Rs.1.5 Lakhs				
iv)	Whether the applicant has under his employment any dismissed Govt. servant / employee of BHEL?  If yes, give the details of the person (s)				

v)	Whether the applicant or any of his partners has been anywhere blacklisted/ removed from the list of approved contractors/ demoted to lower class/ orders passed banning / suspending business with the applicant etc.  (BHEL has the right to suspend, remove, demote, blacklist a contractors name in such circumstances)	
vi)	Whether the applicant has read the BHEL General Conditions Of the Contract (GCC) and agreeable to abide by them.	
vii)	A list of those persons, who are working with the applicant in any capacity and who are near relatives to any officer in BHEL, should be submitted. The applicant should also intimate the names of such persons who are employed by him subsequently.	

#### NOTE:

- 1. The contractors are not entitled to participate in the tender for doing works in BHEL, if their near relative is working in BHEL. Any breach of this condition may debar the participant from enlistment. By the term relative is meant wife, husband, parents, grand parents, children, grand children, brothers and sisters, uncles, aunts and cousin and their corresponding in-laws.
- 2. The tenderers whose contracts were terminated and disqualified due to unsatisfactory performance during the execution of a contract in BHEL or any other Govt/ Govt undertaking companies are not eligible to participate in this Registration Process.

#### **DECLARATION**

- \* I/ We certify that I/ We will not get myself/ ourselves enlisted as contractor(s) in this undertaking more than one name.
- \* I certify that I did not retire as an employee of BHEL during last 2 years. I also certify that I have neither such a person under my employment nor shall I employ any such person within two years of his retirement from BHEL except with prior permission of BHEL(For individual seeking enlistment in their own names).
- \* We certify that none of the partners /directors retired as an employee of BHELduring last two years. We also certify that I have neither such a person under my employment nor shall I employ any such person within two years of his retirement from BHEL except with prior permission of BHEL (For limited or partnership firms).

Date:	Signature of the Contractor Address:

#### **ANNEXURE-I**

SI no	Name of the Work	Year of	Value in	Name of the	Completion Period		
31 110	Name of the Work	execution	Rs. Lakhs	Client	Alloted	Actual	

.....

Contractor Page 6 of 10

CHECK LIST					
S.NO	PARTICULARS /DETAILS/ ENCLOSURES	TICK AS A	PPLICABLE		
3.110	PARTICULARS / DETAILS / ENCLOSURES	Yes	No		
4	All the parts of the Pages & Enclosures have been signed by				
1	the Authorised signatory.				
2	Filled up Applicant Particulars				
3	Filled up Organisation Particulars				
a	Organisation chart furnished				
b	Tool & Plant, Machineries details furnished				
С	Test Facility list furnished				
4	Statutory Requirement				
а	Registration under Company's Establishment Act.				
b	Copy of PAN details furnished				
С	Copy of Service Tax registration furnished				
d	Copy of VAT registration furnished				
е	Copy of MSME/ NSIC registration furnished.				
f	Copy of ESI registration furnished.				
g	Copy of EPF registration furnished.				
h	Copy of Electrical Contractor License furnished.				
5	Category of work selected				
6	Class of work selected				
a	Work Experience certificate furnished				
7	Accreditation Details				
a	ISO 9000 certificate copy furnished.				
b	ISO 14000 certificate copy furnished.				
С	ISO 18000 certificate copy furnished.				
8	Other Requirement				
a	Turnover certificate furnished				
b	Solvency Certificate furnished				
С	Registration copy with any Govt/ Govt undertaking companies				

#### (FOR BHEL USE ONLY)

#### **Parameters of Evaluation**

	PART -A Organisational Soundness						
S.N.	PARAMETER	Qualifying Criteria	Marks	Max Marks	Marks awarded		
a.	Organisation strength						
1	Technical/Executive Staff	1 >1	10 20	20			
	0	2	10	20			
2	Supervisory Staff	3 to 5	15	20			
		>5 5	20 5				
3	Technical workmen	5 to 10	10	20			
3	recinical workinen	>10	20	20			
		50% of list	20				
	Plant, Machine & Tools as below list for each specific work: i) Supply Erection Testing and commissioning of Compressors:- a. Mechanical tool kit with wrenches, Spanners, & pliers. b. Hand drilling machine c. Multimeter d. Meggar. ii) Supply Erection Testing and commissioning of Piping	75% of list	30				
4	(Plumbing Works):- a.Electric Arc Welding set b.Gas Welding Set c.Mechanical tool kit with wrenches,Spanners,& pliers d. Hydraulic Pump. iii) Pest Control &Pied Piper Services:- a.Mechanical tool kit with wrenches,Spanners,& pliers.b.Pesticide Spraying Gun c.Portable Mixing Tank d. Fogging Gun. iv) Operation and maintenance of Waste water Treatment Plant of capacity not less than 300 KLD:- a. Mechanical tool kit with wrenches,Spanners,& pliers. b.Bearing Puller c.Multi Meter d.Megger e. MLSS-Imhoffcane f. Dissolved Oxygen Measuring Equipment g. BOD,COD Measuring Equipment h. Chlorine measuring Equipment v) Supply Erection Testing and commissioning of water purifiers cum coolers:- a.) Mechanical tool kit with wrenches,Spanners,& pliers. b.) Multimeter C.) TDS Measuring Instrument	100% of list	40	40			
		Total		100			

	PART -B Quality & Safety Conformance					
S.N.	Quality Aspect	Qualifying Criteria	Marks	Max Marks	Marks awarded	
		Accredited	15			
1	Accreditation to ISO 9001,14000	No	0	15		
2	In House Quality System	Yes	15	15		
	In House Quality System	No	0	13		
	No of Customers Worked for in last seven Years	5	10	20		
3		5 to 8	15			
		> 8	20			
4	Accreditation to OHSAS 18000	Yes	15	15		
		No	0			
5	In House safety system	Yes	15	15		
3	iii nouse salety system	No	0	13		
6	Safety Equipments (Safety shoes, Safety belt, Helmet, Welding	Yes	20	20		
0	Goggles,Gloves etc.)	No	0	20		
	Total		100			

	PART -C Statutory Criteria					
S.N.	Parameter		Marks	Max Marks	Marks awarded	
1	Registration no	Furnished	25	25		
		Not Furnished	0			
2	PAN.	Furnished	25	25		
2		Not Furnished	0			
3	Service Tax registration.	Furnished	25	25		
э		Not Furnished	0			
	E S I registration	Yes	25			
5	( Vendor if Not registered with ESI wether he agrees/willing to abide by Prevailing ESI Rules )	Willing to Abide by ESI Rule	10	25		
		Total		100		

	PART -D Essential Conditions							
			Marks	Max Marks	Marks awarded			
	Wark Completion Cartificate Furnished	Yes	25					
1	Work Completion Certificate Furnished	No	0	20				
	Turn aver	Yes	25					
2 Turn over	Turriover	No	0	25				
	Solvency Certificate	Yes	25					
3	Solvency Certificate	No	0	25				
	Assembly as of CCC (Consul Conditions of Contract)	Yes	25					
4	Acceptance of GCC (General Conditions of Contract)	No	0	25				
		ı	100					

	PART -E Final Recommendation (Table -1)						
S.N.	Parameter	Max Marks	Qualifying Marks	Marks Obtained	Qualified/ Not Qualified/ Qualified for lower category		
1	Organisational Soundness	100	100 (For Compressors at SI No i) of category of works 45 (For Others category of work)				
2	Quality & Safety conformance	100	70 (For Compressors at SI No i) of category of works 30 (For Others category of work)				
3	Statutory criteria	100	85				
4	Essential Conditions	100	100				

	Recommendation for Registration of Contractor (Table -2)									
S. N.	Category Class Max. Value of works (in Lakhs)									
1										
2										
3										
4										



# BHARAT HEAVY ELECTRICALS LIMITED ELECTRONICS DIVISION BANGALORE – 560026



# GENERAL CONDITIONS OF CONTRACT FOR LUMP-SUM, ITEM-RATE AND PERCENTAGE CONTRACT

#### REVISED GENERAL CONDITIONS OF CONTRACT w.e. f. 1-4-1975

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#### बीएच ई एल सिर्देशी

## Bharat Heavy Electricals Limited Electronics Division, Mysore Road, Banglore-560026

#### 1. DEFINITIONS

In these general conditions of contract, the following terms shall have the meaning hereby assigned to them except where the context otherwise requires:

- a) The "CONTRACT" means the documents forming the tender and acceptance thereof, together with all the document referred to therein including general and Special Conditions of Contract, Schedules 'A', 'B', 'C', 'D', 'E' and/or General Summary attached to the form of tender, the Karnataka P.W.D. Schedule of Rates as amended up to 1979-80 the specifications and the Drawings. All these documents as applicable taken together shall be deemed to form one contract and shall be complementary to one another.
- b) The "TENDER DOCUMENTS" means the form of tender, the applicable schedules 'A', 'B', 'C', 'D', 'E' and / or General summary, General and Special conditions of contract and the specifications and / or drawings as given to contractors on payment for the purpose of preparing their tenders
- c) The 'WORK' means the work described in the tender documents, in individual work orders and/ or accompanying drawings and specifications as may be issued from time to time to the Contractor by the Engineer or deputy manager (projects) or the Engineer- in- charge within the powers conferred upon them, including all modified or additional works and obligations to be carried out either at the site or at any Factory workshop or other place as required for the performance of the contract.
- d) The "SITE" means the land and /or other places on, in, into or through which the work is to be executed under the contract or any adjacent land, path or street which may be allotted to or used for the purpose of carrying out the contract.
- e) The "CONTRACTOR" means the individual, firm or company, whether incorporated or not, undertaking the work and shall include the legal personal representatives of such individuals or the persons composing the firm or company, or the successors of the firm or Company and the permitted assigns of such individual or firm or company.
- f) The abbreviations DGM/P, Sr. M/P, S.E/C means Dy. General Manager /Projects, Senior Manager / Projects, and Senior Engineer respectively, who direct the contract and the letters E/C means Engineer in-charge of the particular work pertaining to the contract.
- g) The "Engineer in charge" means the Engineer who is overall in charge of the work or deputed to supervise the work, or part of the work.
- h) "APPROVED" and "DIRECTED" means the approval or direction of the DGM/P. Sr. M/P, or S.E/C, Engineer-in-charge. or person deputed by them for the particular purpose.
- i) "BHARAT HEAVY ELECTRICALS LIMITED" hereinafter referred to as BHEL Shall mean the board of directors, Resident Director, General Manager/ Project Administrator or other Administrative Officers, of the said company including the project officer, Sr, Manager / Projects, Senior Engineer or Engineer authorised to invite tenders and enter into the contracts for works on behalf of "Bharat Heavy Electrical Limited", unit: Electronics Division, Mysore Road, Bangalore 26.

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- j) In the case of lumpsum contracts, CONTRACTOR'S PERCENTAGE" means the percentage offered by the contractor as addition to or deduction from the cost of buildings, or other works listed in schedule, 'A' to provide a lump sum quotation for performance of the contract inclusive of all extra costs, profit, establishment charges, carriage, insurance etc., complete.
- 1. In the case of percentage rate contracts, "Contractor's percentage" shall, if the context so permits, mean the uniform percentage tendered by the contractor and accepted by the Accepting Officer, and the expression "CONTRACT RATE" shall like wise mean the rates in the K.P.W.D. schedule of rate as amended up to 1979-80 as adjusted by the said Contractor's percentage, if any.
- k) The "CONTRACTOR SUM" means the sum accepted, or the sum calculated in accordance with the
  - prices accepted in the tender and / or the contract rates as payable to the Contractor for the entire execution and full completion of the work.
- 1) the "FINAL SUM" means the actual amount payable under the contract by BHEL, to the Contractor for the entire execution and full completion of the work.
- m) The "DATE OF COMPLETION" is the date or dates for completion of the whole or any part of the work as set out in or ascertained in accordance with the individual work orders or the tender documents, or any subsequent agreed amendments thereto.
- n) A "WEEK" means seven days without regard to the number of hours worked or not worked in any day in that week.
- o) A"DAY" means a day of 24 (Twenty four) hours irrespective of number of hours worked or not in that day.
- p) A "WORKING DAY" means any day other than that prescribed by the Negotiable instruments ACT as being a holiday, consists of the number of hours of labour as commonly recognised by good employers in the trade in the District where the work is carried out or as laid down in the BHEL Regulations.
- q) "DEVIATION ORDER" means an order given by the Engineer-in-charge to effect an alteration ,addition or deduction, which dose not radically affect the scope of nature of the contract.
- r) "EMERGNCY WORK" means any urgent measures which, in the opinion of the Engineer-incharge, become necessary during the progress of the work to obviate any risk of accident or failure or which become necessary for security.
- s) "PROVISIONAL SUM" or "PROVISIONAL LUMPSUM" means a lump-sum included by the BHEL in the tender documents and represents the estimated value of work for which details are not available at the time of inviting the tender.
- t) "PROVISIONAL ITEMS" means items for which approximate quantities have been included in the tender documents.
- u) "DAY WORK" means on item of work requiring the employment of labour with or without materials as the case may be, which, in the opinion of the Engineer -in-charge, is not capable of being evaluated by the accepted methods of measurement or assessment and is paid for on the basis of the actual labour and materials utilised on the particular item of work referred to.



#### **Chapter-II**

#### SCOPE OF CONTRACT

#### 2. HEADING TO THE CONTRACT

The heading to these conditions shall not affect the interpretation thereof

#### 3. CONTRACT DOCUMENTS:

The accepting officers shall furnish to the Contractor on demand "FREE OF COST" three copies of signed Drawings and one copy of the signed agreement comprising of preamble to Agreement , General and Special Specifications , Schedules 'A' 'B' 'C' 'D' and 'E' etc. ,(but excluding General Conditions of Contract and Drawings) and three copies of all further drawings issued during the progress of work.

However, for any additional copies of the agreement or drawings required by the Contractor, the same will be supplied on payment of the Specified Cost.

The contractor shall keep one copy of all the drawings and of the specifications on the site and the Engineer-in-charge or his representative shall at all reasonable times have access to them.

#### 4. WORK TO BE CARRIED OUT:

The Contract shall, expect as provided under Schedules 'B' and 'C' include all labour, materials, tools, plant, equipment and transport which may be required in preparation for, and in the entire execution and full completion of the work. Schedule 'A' shall be deemed to have been prepared in accordance with good practice and recognised principles and unless otherwise stated, the descriptions given therein shall be held to include waste on materials, carriage and cartage, lead, return of empties, hoisting, setting, fitting in position and all other labour necessary in and for the entire execution and full completion aforesaid. Any error in description or quantity in Schedule 'A' or any omission therefrom shall not vitiate the Contract or release the Contractor from the execution of the whole or any part of the work comprised therein according to the drawings and specifications, or from any of his obligations under the contract. The insertion of the name of any firm of suppliers in the Tender Documents is for the purpose of obtaining a particular class or quality of materials or workmanship but the articles or materials specified may be obtained from any other firm subject to the prior written approval of the Engineer-in-charge.

In the case of a discrepancy between Schedule 'A' the Specifications and/or the Drawings, the accepting officer shall be the sole deciding authority as to which shall prevail and his decision shall be final and conclusive. If neither drawings nor specifications contain any mention of minor details of construction, which in the opinion of the Accepting Officer whose decision shall be final and conclusive, are reasonable and obvious and fairly intended

for the satisfactory completion of the work, such details shall be provided by the Contractor without any extra cost as if they were specially mentioned and shall be deemed to be included in the contract.



The Contractor will be deemed to have satisfied himself as to the nature of the site, local facilities of access and all matters affecting the execution and completion of the work. **No extra** charges consequent on any misunderstanding in these respects or otherwise will be allowed.

#### 5. PROVISIONAL ITEMS:

The full amount of provisional lump-sum and the value annexed to each provisional item inserted in the Tender documents shall be deducted from the contract sum and the value of work ordered and executed there under shall be ascertained by measurement or valuation as for deviations.

No work under these items is to be begun without instructions in writing from the Engineer- in – charge.

The extent of quantities or items described as "provisional" shall not be held to guarantee or limit the amount and description of the work to be executed by the Contractor either in respect of the item concerned or the work as a whole.

No addition or deduction shall be made by the Contractor to the amount of the provisional lumpsums as included in the tender documents.

#### 6. DIVIATIONS:

The contractor shall not make any alteration in addition to or omission from the work as described in the tender documents except in pursuance of the written instruction of the Engineer –in charge. No such deviation from the work described in the tender documents shall be valid unless the same has been specifically confirmed and accepted by the Accepting Officer in writing and incorporated in the contract.

The accepting Officer may deviate, either by way of addition or deduction, from the work so described, provided that the contractor sum is not thereby varied on the whole by more than the percentage set out in the tender documents. The value of all additions and deductions will be added to, or deducted from the contract sum. Whenever the Accepting Officer intends to exercise such a right, his intention shall specify the deviation which are to be made, the lump sum assessment or the proposed basis of payment, the extra time allowed, if, any and the date for completion of the entire contract.

Any objection by the Contractor to any matter concerning the order shall be notified by him in writing to the Engineer-in-charge within SEVEN DAYS from the date of such order, but under no circumstances shall the work be stopped (unless so ordered by the Engineer-in-charge) owing to differences or controversy that may arise from such an objection. In the absence of such a notification of objection, by the contractor, he will be deemed to have accepted the order and the conditions stated therein. In the event of the contractor, failing to agree with the Engineer-in-charge regarding the terms of the proposed deviation, the objections shall be referred to the DGM/P/Sr.M/P, whose decision shall be final, conclusive and binding on the Contractor.

#### **7. TIME:**

Time is the essence of the contract and is specified in the tender document or in each individual work order.

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As soon as possible after the contract is let or any substantial work order is placed and before work under it is begun, the Senior Engineer or Engineer-in-charge and the contractor shall (if so required by the Sr. E/C/ Engineer-in-charge agree to a time and Progress chart. The chart shall be prepared in direct relation to the time stated in the tender documents or the Work Order for the completion of the individual items thereof, and/or the contract or Orders as a whole. It shall indicate the forecast of the dates for the commencement and completion of the various trade processes or sequences of the work, and shall be amended as may be required by agreement between the Sr. E/C or Engineer-in-charge, and the contractor within the limitation of the time imposed in the tender documents or ORDER.

In the absence of any specific Time and Progress Chart to be agreed to between the Contractor and the Sr. E/C. or Engineer –in-charge, the contractor shall ensure and maintain uninterrupted progress of the work such that the entire work shall be completed within the time imposed in the tender document or order and that the proportion of work completed up to any time in relation to the entire work to be done under the Contract or Order shall not be less than the proportion that the time elapsed bears to total time of completion provided in the Tender Documents or Order.

The Contractor shall suspend the execution of the work, or any part or parts there of whenever called upon in writing by the Engineer –in –charge to do so, and shall not resume work thereon until so directed in writing by the Engineer –in –charge. The Contractor will be allowed an extension of time for completion not less than the period of suspension but no other claim in this respect for compensation or otherwise how so ever will be admitted. This may also be extended to allow for alteration of work made by the deviation order.

#### 8. STORES AND MATERIALS:

The contractor shall, at his own expense, supply all stores and materials required for the contract, other than those listed in Schedule 'B' which may be provided by Bharat Heavy Electricals Limited at the rates detailed therein subject to their availability at the place of issue indicated therein. All stores and materials to be supplied by the Contractor shall be of the best kind as described in the Specifications and the Contractor shall, if required by the Engineer-incharge furnish him with proof to his satisfaction that the stores and materials so comply with the specifications.

The contractor shall, at his expense and without delay, supply samples of stores and materials proposed to be used in the execution of the work for the approval of the Engineer-in-charge, who may reject all stores and materials not corresponding either in quality or character to the approved samples.

In the case of stores provided under Schedule 'B' the Contractor shall bear the cost of loading, transporting to site, unloading, storing under cover as required, assembling & jointing the several parts together as necessary and incorporating & fixing these stores & materials in the work, including all preparatory work of whatever description that may be required, and closing, preparing, loading and returning empty cases or containers to the place of issue without any extra charges.

#### 9. DELAY AND EXTENSION OF TIME:

If, in the opinion of Engineer-in-charge the work is delayed:

i) by reason of abnormally bad weather, or



- ii) by reason of serious loss or damage by fire, or
- iii) by reason of civil commotion, local combination of workmen, strike or lockout, affecting any of the trades employed on the work, or
- iv) by delay on the part of the agency or tradesman engaged by BHEL in executing work not forming part of this contract, or
- by reason of any other cause which in the absolute discretion of the Sr. M/P. Sr. E/C. or E/C is (when he is the Accepting Officer of the Contract) beyond the contractors control, then in any such case, the Accepting Officer, on the recommendation of the Sr. E/C., E/C (or higher authority) may make fair and reasonable extension in the completion dates of the individual items of work or the contract as a whole. Such extension which will be communicated to the contractor by the Sr. E/C./E/C. in writing shall be final and binding on the contractor. No other claim in this respect for compensation or otherwise howsoever is admissible. Upon the happening of any such event causing delay, the contractor shall immediately give notice thereof in writing to the Engineer-in-charge but shall nevertheless use constantly his best endeavour to prevent or make good the delay and shall do all that may reasonably be required to the satisfaction of the Engineer-in-charge to proceed with the work.

#### 10. PATENT RIGHTS:

The contractor shall fully indemnify BHEL, or the agent, servant, or employee of BHEL, against any action, claim or proceeding relating to infringement or the use of any patent or design or any alleged patent or design rights, and shall pay any royalties which may be payable in respect of any article/ or part thereof included in the contract.

In the event of any claims being made or action brought against BHEL, or any agent, or servant or employee of BHEL., in respect of any of the matters aforesaid, the contractor shall immediately be notified thereof for taking necessary action provided that payment of indemnity shall not apply when such infringement has taken place in complying with the specific directions issued by the BHEL....but the contractor shall pay any royalties payable in respect of any such use.

#### 11. OCTROI AND OTHER DUTIES:

All charges on account of octroi, Terminal or Sales Tax and / or other duties on materials obtained for the work (excluding materials provided by BHEL, on payment) shall be borne by the contractor.

#### 12. ROYALTIES:

Royalties fixed from time to time as per prevalent local rules will be recovered for materials, which the contractor may be allowed to remove from quarries situated on land which is in charge of the BHEL., authorities.



#### 13. PLANT AND EQUIPMENT:

The contractor, shall at his own expenses, supply all tools, plant and equipment (herein-after referred to as T & P) required for the execution of the contract, as specified in the tender documents.

#### 14. ASSIGNMENT OR TRANSFER OF CONTRACT:

The contractor shall not, without the prior written approval of the Accepting Officer, assign or transfer the contract or any part thereof, or any share, or interest therein to any other person. No sum of money which may become payable under the contract shall be payable to any person other than the contractor unless the prior written approval of the Accepting Officer to the assignment or transfer of such money is given.

#### a)SUB-CONTRACT:

The contractor shall not sub-let any portion of the contract without the prior written approval of the Accepting Officer

#### 15. COMPLIANCE TO REGULATION AND BYE-LAWS:

The contractor shall conform to the provisions of any statute relating to the work and regulations and bye-law of any local authority and of any water and lighting Companies or Undertakings with those system the works is proposed to be connected. He shall before making any variation from the drawings or the specification that may be necessiated for such connection give the Engineer-in-charge notice, specifying the variation proposed to be made and the reason therefore and shall not vary out any such variation until he has received instructions from the Engineer-in-charge in respect thereof. The contractor shall be bound to give all notices required by statute regulations or bye-laws as aforesaid and to pay all fees and taxes payable to any authority in respect thereof.



#### CHAPTER-III

#### PERFORMANCE OF THE CONTRACT 16. SECURITY DEPOSIT: The amount of security money to be deposited for proper fulfillment of the contract will be i) as follows: a) For contract valued upto Rs. 1.00 lakh. 10% of the contract value. As asked in each 10% on the 1<sup>st</sup> Rs. 1.00 lakh b) For contract valued above tender Plus 7 1/2 % on the balance of Rs. 1.00 lakh but not the contract Value. exceeding Rs. 2.00 lakbs. 10% on the 1<sup>st</sup> Rs. 1.00 lakh For contracts valued c) above Rs. 2.00 lakhs. Plus 7 ½ on the next Rs.1.00 lakh Plus 5% on the balance of the contract value.

ii) The contractor whose tender may be accepted shall within seven days of receipt by him of the notification of acceptance of his tender, deposit with the BHEL, Unit: Bangalore the prescribed sum as per Clause 16 (i) above towards security deposit.

The Earnest Money Deposited at the time of tender will be treated as part of the Security Deposit and the balance amount to make up the full Security Deposit as referred to in Clause 16(i) above may be furnished in Cash or in any of the following forms duly pledged to the BHEL Limited.

- a) Call Deposit Receipt, Pay Order or Demand Draft.
- b) Post Office cash certificates, National Savings Certificates, Treasury Saving Deposit Certificates, National Plan Saving Certificates, 12 year National Defence Certificates and 10 year Deposit Certificates.
- c) Fixed Deposit Receipt issued by State Bank of India/ Nationalised Bank/ Scheduled Bank.
- d) Bank Guarantee from Nationalised /Scheduled Bank valid for a period inclusive of the maintenance period also after the date of completion of the work, wherever warranted.
- e) Insurance Guarantee issued by L.I.C. or any of the four General Insurance Corporations valid for a period inclusive of the maintenance period also after the date of completion of work, where warranted.

Alternatively the requisite amount to make up the full Security Deposit may also be deducted, from each Running bill in respect of the particular contract concerned at 10% (ten percent) of the value of the work done by the Contractor as billed till such deductions along with the Earnest money already deposited by him shall make up the full Security Deposit as per para 16 (i) above. No interest shall be allowed on Security Deposits.

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BHEL, shall not be responsible for any loss of Securities due to liquidation or any other reason whatsoever or any depreciation in the value of the Securities while in their charge or for any loss of interest thereon.

- iii) All compensation or other sums of money payable by the Contractor to BHEL under the terms of this contract or under any other contract with BHEL, may be deducted from the Security Deposit or realised by the Sale of Securities or from the Interest arising there-from or from any sums which may be due or may become due to the Contractor payable by BHEL, on any account whatsoever against this contract or any other contract with BHEL, and in the event of his Security Deposit being reduced by reason of such deduction or sale as aforesaid, the contractor shall, within seven days thereafter, make good in cash or in securities endorsed as aforesaid, any sums by which the Security Deposit has been so reduced.
- iv) 50% of the Security Deposit may be refunded on completion of the work after payment of the final bill and the balance 50% of the Security Deposit is refunded only after the expiry of the maintenance period of six (6) months from date of completion of work or as stipulated in the contract concerned.

#### 17. ORDERS UNDER THE CONTRACT:

All orders, notices etc. to be given under the contract shall be in writing, type script of printed and if sent by registered post to the address given in the tender of the contractor, shall be deemed to have been served on the date when in the ordinary course they would have been delivered to him.

The contractor shall carry out without delay all orders given to him.

#### 18. ADMISSION TO SITE:

The contractor shall not enter on (other than for inspection purposes) or take possession of the site unless permitted to do so by the Engineer-in-charge. The portions of the site to be occupied by the Contractor will be clearly defined and marked on the site plan, and the contractor will on no account be allowed to extend his operations beyond these areas. The Contractor shall provide, if necessary, or required at the site, temporary access thereto and shall alter, modify and maintain the same as required from time to time. He shall take out and clear away the access route when no longer required restoring the area to its original conditions.

The Engineer-in-charge shall have power to execute other works (whether or not connected with the work in the contract agreement) in the site contemporaneously with the execution of the original work and contractor shall give reasonable facilities for this purpose.

BHEL, reserves the right of taking over, at any time, and portion of the site which they may require and the contractor shall at his own expense clear such portion forthwith. No photographs of the site or of the work or any part thereof shall be taken, published or otherwise circulated, without the prior approval of the Engineer-in-charge.

No such approval shall however exempt the contractor from complying with any statutory provisions in regard to the taking and publication of such photographs.

BHEL officials connected with the contract shall have the right of entry to the site at all times.

Engineer-in-charge shall have the power to exclude from the site any person whose admission thereto may, in his opinion, be undesirable for any reason whatsoever.



#### 19. CONTRACTORS SUPERVISION:

The Contractor shall either himself supervise the execution of the Contract or shall appoint a competent Agent approved by the Engineer-in-charge to act in his stead.

The contractor shall employ an Engineer/Agent having atleast a 'Degree of Bachelor of Engineering' from a recognised University/on any work with a Contract value exceeding rupees two lakhs and having atleast a 'Diploma in Engineering' from a recognised college on work with a contract value exceeding Rs. 50,000/- but not exceeding rupees two lakhs.

The employment of an Engineer/Agent as aforesaid, shall not be necessary if the contractor is in possession of a recognised technical qualification and is in opinion of the Engineer-in-charge capable of receiving instructions of the Engineer-in-charge and of executing the work to the satisfaction of the Engineer-in-charge.

If the contractor fails to appoint a suitable Engineer/Agent as aforesaid, the Engineer-in-charge shall have full powers to suspend the execution of work and stop payment of any advances that may have become due until such date as a suitable Engineer/Agent is appointed and the contractor shall be held responsible for the delay caused to the work and no extension of time on this account shall be given to him as stipulated in condition (9) above.

Orders given to the contractors Agent/Engineer shall be considered to have the same force as if they had been given to the contractor himself.

The contractor or his agent shall be in attendance at the site during all working hours and shall superintendent the execution of work with such additional assistance in each trade as the Engineer-in-charge may consider necessary.

The contractor or his accredited agent shall attend, when required and without making any claim for doing so, either the Office of the Engineer-in-charge or the work-site to receive instructions.

The Engineer-in-charge shall have full powers, and without assigning any reason, to require the contractor immediately to cease to employ in connection with this contract any agent, servant or employee whose continued employment is, in his opinion, undesirable.

The contractor shall not be allowed any compensation on this account.

#### 20. LABOUR:

The contractor shall employ labour in sufficient numbers either directly or through sub-contractors to maintain the required date of progress and of quality to ensure workmanship of the degree specified in the contract and to the satisfaction of the Engineer-in-charge. The contractor shall not employ in connection with the works any person who has not completed his fifteen years of age.

The contractor shall furnish to the Engineer-in-charge at the intervals specified by him, a distribution return of the number and description by trades of the work people employed on the works. The contractor shall also submit on the 4<sup>th</sup> and 19<sup>th</sup> of every month to the Engineer-in charge a true statement showing in respect of the second half of the preceding month and the first half of the current month (i) the accident that occurred during the said fortnight showing the circumstances



under which they happened and the extent of damage and injury caused by them and (ii) the number of female workers who have been allowed maternity benefit as provided in the maternity benefit Act, 1961 or Rules made there under and the amount paid to them.

The contractor shall pay to labour employed by him either directly or through sub-contractors wages not less than fair wages as defined in the contractors labour regulations.

The contractor shall in respect of labour employed by him either directly or through sub-contractors comply with or cause to be complied with contractors Labour Regulations in regard to all matters provided therein.

The contractor shall comply with the provisions of the payment of Wages Act, 1936, Minimum Wages Act, 1948, Employers Liability Act 1938. Workman's Compensation Act, 1923, industrial Disputes Act, 1947, Maternity Benefit Act, 1961 and Mines Act 1952 or any modifications therof or any other law relating there to and rules and there under from time to time.

The contractor shall be liable to pay his contribution and the employees contribution to the State Insurance Scheme in respect of all labour employed by him for the execution of the contract, in accordance with the provision "The Employees" State Insurance Act, 1948" as amended from time to time. In case the contractor fails to submit full details of his account of labour employed and the contribution payable, the Engineer-in-charge shall recover from the running bills of contractor an amount of contribution as assessed by him. The amount so recovered shall be adjusted against the actual contribution payable for employees' State Insurance.

The Engineer-in-charge shall on a report having been made by an inspecting Officer as defined in the contractors labour regulations have the power to deduct from the moneys due to the Contractor any sum required or estimated to be required for making good the loss suffered by a worker or workers by reason of non-fulfillment of the conditions of the contract for the benefit of workers, non-payment of wages or of deductions made from his or their wages which are not justified by the terms of the contract or non-observance of the said contractors labour Regulations.

The contractor shall indemnify the BHEL against any payments to be made under for observances of the Regulations aforesaid without prejudice to his right to claim indemnify from his subcontractors.

In the event of the Contractor committing a default or breach of any of the provisions of the aforesaid Contractors Labour Regulations, as amended from time to time or furnishing any information or submitting or filling any form/Register/Slip under the provisions of these regulations which is materially incorrect, then on the report of the Inspecting Officers as defined in the Contractors Labour Regulation, the contractor shall without prejudice to any other liability pay to the BHEL a sum not exceeding to Rs.50/- as liquidated damages for every default breach or furnishing, making, submitting, filling materially incorrect statement as may be fixed by the Engineer-in-charge and in the event of the contractors default continuing in this respect, the liquidated damages may be enhanced to Rs. 50/- per day for each day of default subject to a maximum percent of the estimated cost of woks put to tender.

The Engineer-in-charge, shall deduct such amount from bills or security deposit of the contractor and credit the same to the Welfare fund constituted under Regulations. The decision of the Engineer-in-charge in this respect shall be final and binding.



#### MODEL RULES FOR LABOUR WELFARE:

The contractor shall at his own expense comply with or cause to be complied with model Rules for Labour Welfare as appended to these conditions as rules framed by Government from time to time for the protection of health and for making sanitary

arrangements for workers employed directly or indirectly on the works. In case the contractor fails to make arrangements as aforesaid, the Engineer-in-charge shall be entitled to do so and recover the cost thereof from the Contractor.

#### **SAFETY CODE:**

The contractor shall at his own expense arrange for the safety provisions as appended to these conditions or as required by the Engineer-in-charge, in respect of all labour directly or indirectly employed for performance of the works and shall provide all facilities in connection therewith. In case the contractor fails to make arrangements and provide necessary facilities as aforesaid, the Engineer-in-charge shall be entitled to do so and recover the cost thereof from the contractor.

Failure to comply with model Rules for Labour Welfare, Safety Code, or of the provisions relating to report on accidents and to grant of maternity benefits to female workers shall make the Contractor liable to pay to the BHEL as liquidated damages an amount not exceeding Rs 50/- for each default or materially incorrect statement. The decision of the Engineer-in-charge in such matters based on reports from the Inspecting Officers as defined in the contractors Labour Regulation as appended to these conditions shall be final and binding and deductions for recovery of such liquidated damages may be made from any amount payable to the Contractor.

#### 21. WATER:

The contractor shall allow in his tender and provide at his cost all water required for the work or his employees on the work, together with all pipes and fittings or other means that may be necessary or required to ensure a proper and ample supply of water for all purposes connected with the work.

In the event of a provision existing in the Tender documents for supply of water on payment by Bharat Heavy Electricals Limited, water will be supplied from the BHEL supply System, or other sources at any points fixed by the Engineer-in-charge on the site of work. The contractor shall make necessary arrangement for lifting, pumping, carrying or conveying the water as required at his own cost. The levy of water charges to be borne by the Contractor in such case shall be specifically mentioned in the Tender documents.

#### 22. TEMPORARY WORKSHOPS, STORES ETC:

The Contractor shall, during the progress of the work provide, erect and maintain at his own expenses all necessary temporary workshops, stores, offices etc., required for the proper and efficient execution of the work. The planning, sitting and erection of these buildigs. Shall have the approval of the Engineer-in-charge and the Contractor shall at all times keep them tidy and in a clean and sanitary condition to the entire satisfaction of the Engineer-in-charge.

On completion of the work all such temporary buildings shall be cleared away and the site restored and left in a clean and tidy condition to the entire satisfaction of the Engineer-in-charge.



#### 23. STORES AND MATERIALS ON SITE:

All stores and materials required for the work are to be deposited by the Contractor only in places to be indicated by the Engineer-in-charge.

Where in accordance with the contract stipulations certain Stores and Materials (for incorporation in the work) are to be issued to the Contractor by the BHEL as detailed under Schedule 'E' such times will be so issued only to the extent required for the actual completion of the work as stipulated in the Contract. The decision of the Engineer-in-charge regarding the quantities to be issued as above shall be final and binding on the Contractor. For any excess quantities consumed on the work their cost will be recovered from the Contractor at punitive rates which will be 100% (hundred percent) more than the issue rates of the BHEL.

In regard to the materials and stores which may be issued to the contractor by BHEL the Contractor shall give the Engineer-in-charge reasonable notice in writing of his requirements of such stores and materials and on the approval of his demand being notified to him, he shall make immediate arrangements for drawing the same. Such stores and materials shall be transported by Contractor at his own expenses direct from the place of issue to the site of the work, unless prior written approval is obtained from the Engineer-in-charge to take them to a store or workshop elsewhere.

The Contractor shall have to build a weather-proof shed for the storage of cement required for 15 days consumption of the work.

BHEL officers connected with the Contract shall have the power at any time to inspect and examine any stores or materials indented to be used in or on the work, whether on the site or at any factory or workshop or other place where such stores or materials are being fabricated or manufactured or at any place where the same are lying and the contractor shall give necessary facilities for such inspection and examination.

The Engineer-in-charge shall be entitled to have tests made of any stores or materials supplied by the Contractor who shall provide at his own expense all facilities which the Engineer-in-charge may require for this purpose. If at the discretion of the Engineer-in-charge an independent expert is employed to make any such tests his charges shall be borne by the contractor only if the test discloses that the said stores or materials are not in accordance with the provisions of the contract.

Should the Engineer-in-charge consider at any time during the construction or re-construction, on prior to the expiry of the 'MAINTENANCE PERIOD', that the stores or materials provided by the Contractor are unsound or of a quality inferior to that contracted for, or otherwise not inaccordance with the Contract, (in respect whereof the decision of the Engineer-in-charge shall be final and conclusive) the contractor shall on demand, in writing from the Engineer-in-charge specifying the stores or materials complained of, not withstanding that the same may have been inadvertently passed, certified and paid for, forthwith remove the stores or materials so specified and provide other proper and suitable store or materials at his own expense; to the entire satisfaction of the Engineer-in-charge and in the event of his failing to do so within a period to be specified by the Engineer-in-charge in his demand aforesaid, the Engineer-in-charge may replace within others the stores or materials complained of at the risk and expense in all respects of the Contractor. The liability of the contractor under this condition shall not extend beyond the maintenance period aforesaid except as regards stores or materials, which the Engineer-in-charge shall have previously given notice of to the contractor to replace. (Maintenance period for any work



under this organisation will be six months from the date of actual completion of the particular work and handing over to BHEL).

All stores and materials brought to the site shall become and remain the property of BHEL and shall not be removed from the site without the prior written approval of the Engineer-in-charge. However, when the work is finally completed the Contractor shall at his own expense forthwith remove from the site all surplus stores and materials originally supplied by him and upon such removal, the same shall re-vest in and become the property of contractor. All BHEL stores and materials issued to Contractor for incorporation or fixing in the work and which, making due allowance for reasonable wear and tear/or waste, have not on completion of the work been so incorporated of fixed, shall be returned by the Contractor at his own expense to the place of issue.

Credit for surplus and/or materials returned by the contractor to BHEL will be given to him at a price based on the prevailing market rate but not exceeding that at which the said stores and materials were originally issued to him but due consideration shall be given to the allowance claimed by BHEL in respect of any depreciation or damages suffered by the stores and/or materials whilst in the custody of the contractor regarding which the decision of Engineer-in-charge shall be final and conclusive.

If , in the opinion of the Engineer-in-charge (which shall be final and conclusive) any stores supplied by BHEL have either during currency of the work or after completion of the work whilst under the custody of the contractor, become damaged to such an extent that they cannot be usefully utilised, either in the same work or in other works, the Engineer-in-charge shall not accept the stores and in the extent of his rejecting, the Contractor shall be charged for the said stores at a rate fixed by the Accepting Officer. The Contractor shall not be entitled to any claim what-so-ever on this account.

#### 23(a).DEFECTS LIABILYTY PERIOD:

The contractor shall be responsible to make good and remedy at his own expenses within such period as may be stipulated by the Engineer-in-charge, any defect which may develop or may be noticed before the expiry of the maintenance period of six months hereto from the certified date of completion and intimation of which has been sent to the contractor within seven days of the expiry of the said period by a letter sent by hand delivery or by registered post.

#### 24. TOOLS AND PLANT ON SITE:

All tools, plant and equipment brought to the site shall become the property of BHEL and shall not be removed from the site without the prior written approval of the Engineer-in-charge. When the work is finally completed or the Contractor is determined for reasons other than the defaults of the contract, he shall forthwith remove from the site all tools, plants, equipment etc., (other than those as may have been provided by BHEL) and upon such removal, the same shall re-vest in, and become the property of the contractor.

#### 25. STATEMENT OF HIRE CHARGES:

A monthly detailed statement of the hire charges incurred in respect of BHEL tools, plants, equipment etc., shall be given to the contractor by the Engineer-in-charge.



#### 26. PRECAUTIONS AGAINST RISK:

The contractor shall be responsible for providing at his own expense, for all precautions to prevent loss or damage from any and all risks and to minimise the amount of any such loss or damage and for the necessary steps to be taken for the said purpose until the works have been handed over complete in all respects to the Engineer-in-charge.

The contractor shall provide all watchmen necessary, for the protection of the site, the work, the materials, tools, plant, equipment and anything else lying on the site during the progress of the work. He shall solely be responsible for and shall take all reasonable and proper steps for protecting, securing, lighting and watching all places on or about the work and the site which may be dangerous to any person whom so ever.

#### 27. NOTICES AND FEES:

The contractor shall give all notices required by any statutory provision or by the regulations and/ or bye-laws of any local authority and / or of any Public Service, Company or Authority affected by the work or with whose system the same are or will be connected. The contractor shall pay and indemnify BHEL against any fees and charges, demandable by law under such

Acts, Regulations and/ or bye-laws in respect of the work and shall make and supply all drawings and plans required in connection with any such notice.

## 28. SETTING OUT OF THE WORKS AND PROTECTIVE AND MAINTAINING SIGNALS AND WORKS :

The engineer-in-charge shall supply dimensioned drawing, levels and other information necessary to enable the contractor to set out the work. The contractor shall at his own expense set out accurately according to the drawing and figured dimension thereon, all the work comprised in the contract and any extras or additions thereto and shall be solely responsible for their being so set out and executed.

All bench marks, pegs, signals, on the surface, alignment stones, milestones and all similar marks whether put in by BHEL Authority for the purpose of checking the contractors work or in the nature of permanent survey marks will during the tenure of the contract, be under the care of the contractor who shall, at his own expense, take all proper and reasonable precautions and care to preserve and maintain them in their true position. In the event of these marks being disturbed or obliterated by accident or due to any other cause whatsoever, the same may, if deemed necessary to replaced by the Sr.E/C/. E/C at the contractors expense and the cost thereof deducted from any money than or thereafter becoming due to the contractor.

Where requested by the contractor, the level marks centre line and chainage pegs corresponding to those shown on the drawing will be pointed out to the contractor on the ground but all bench marks or chainage pegs additional to those shown on the drawing will be set out by BHEL authorities.

#### 29. SITE DRAINAGE:

All water that may accumulate on the site during the process of the work, or in trenches and excavations shall be removed to the entire satisfaction of the Engineer-in-charge and at Contractors expense.



#### 30. EXCAVATIONS, RELICS, ETC:

Material of any kind obtained from excavation on the site shall remain the property of BHEL and shall be disposed off as the Engineer-in-charge directs.

All gold, silver, oil and other minerals of any description and all precious stones, coins, treasured, relics, antiquities and other similar items which may be found in or upon the site shall be the property of Bharat Heavy Electricals Limited and Contractor shall duly preserve the same to the satisfaction of the BHEL and shall from time to time deliver the same to such person or persons as the Bharat Heavy Electricals Limited, may appoint to receive the same.

#### 31. FOUNDATIONS:

The contractor shall not lay any foundations until the excavations for the same have been examined and approved in writing by the Engineer-in-charge.

#### 32. COVERING-IN WORK:

The contractor shall give reasonable notice in writing to the Engineer-in-charge whenever any work is to be permanently covered up or concealed, whether by earth or other means so that it can finally be inspected or measured, if necessary. In default of so doing, the contractor shall, if required by the Engineer-in-charge uncover such work at his own expense.

#### 33. APPROVAL OF WORKS BY STAGES:

All work embracing more than one process shall be subject to examination and approval at each stage thereof and the contractor shall give due notice in writing to the Engineer-in-charge when each stage is ready. In default of such notice being received, the Engineer-in-charge shall be entitled to approve the quality and extent thereof at any time he may choose and in the event of any dispute, the decision of the Engineer-in-charge thereon shall be final and conclusive.

#### 34. EXECUTION OF THE WORK:

The work shall be executed in a workman-like manner and to the satisfaction in all respects of the Engineer-in-charge.

The Engineer-in-charge will communicate or confirm his instructions to the Contractor in respect to the execution of the work in a "work Site Order Book" maintained at his office and contractor shall visit this office daily and shall confirm receipt of such instructions by signing the relevant entries in this book. Such entries will rank as order or notices in writing within the intent and meaning of these conditions.

#### **35. DAY WORK:**

No day-work shall be performed without the prior written instructions of the Accepting Officer.

The Contractor shall give to the Engineer-in-charge reasonable notice of the start of any work ordered to be executed by day-work and shall deliver to the Engineer-in-charge within two days of end of each pay-week return in duplicate giving full detailed accounts of labour and materials for that pay week. One copy of each of these returns, if found correct, will be certified by the Engineer-



in-charge and returned to the contractor and must be produced at the time of adjustment of accounts.

An invoice in duplicate signed by the Contractor or his agent shall be sent with each delivery of materials for day-work and the contractor will be furnished with a receipt signed by the Engineer-in-charge specifying the description, quantities weight or measurement (as the case may be) of the articles approved, reference will be made in this receipt in the return aforesaid and the receipt itself is to be produced in support of the Contractors bill.

In the case of Lump-sum contracts, the rates to be changed and the percentage addition for profit and establishment charges, etc, will be agreed upon between the Accepting Officer and the Contractor prior to the execution of the work.

#### 36. INSPECTION OF THE WORK:

BHEL Officers concerned with the Contractor shall have power at any time to inspect and examine any part of the work and the contractor shall give such facilities as may be required to be given for such inspection and examination.

Should Engineer-in-charge consider at any time during the expiry of maintenance period, that any work has been executed with unsound, imperfect or unskilled workmanship or of quality inferior to that contracted for or not otherwise in accordance with contract (in respect whereof the decision of the Engineer-in-charge. shall be final and conclusive) the contractor shall, on demand in writing from the Engineer-in-charge specifying the fault notwithstanding that the same may have been inadvertently passed, certified and paid for, forthwith rectify or remove and reconstruct the work so specified, in whole or in part as the case may be require at his own expense to the entire satisfaction of the Engineer-in-charge in the event of his failing to do so within a period to be specified by the Engineer-in-charge in his demand aforesaid, the Engineer-in-charge. may carry out the work by other means at the risk and expense in all respect of the contractor. However, the liability of the contractor under this condition shall not extend beyond the maintenance period except as regard workmanship which the Engineer-in-charge shall have previously given notice of to the contractor to rectify.

#### 37. RESPONSIBILITY FOR BUILDING:

In the event of any building, or part of any building being handed over to the Contractor for the execution of work thereto under the provisions of the Contract, he shall give a written receipt for all fixtures, glass etc., and he shall be required to make good at his own expense all damages resulting from any cause whatsoever while in his charge and on completion of the work to deliver up the said building or part thereof a clean state complete in every particular to the entire satisfaction of the Engineer-in-charge.

## 38. INSURANCE OF WORKS AGAINST DAMAGE AND LOSS DUE TO FIRE, TEMPEST, FLOODS, EARTHQUAKE, RIOT AND AGAINST DAMAGE BY AIR-CRAFT.

The contractor shall, within one month after the date of acceptance of the contract, insure the work against loss and damage by fire, tempest, floods, earthquake, riots and against damage by air-craft with an insurance office approved by the Accepting Officer. Such insurance shall be affected in the name of BHEL and shall be for the full value of the contract sum. The Contractor shall lodge with



the BHEL policies and receipt of the premiums for such insurance and shall maintain such policies in force until the entire completion of the work as certified by the Engineer-in-charge.

If the contractor fails to comply with the terms of this condition that the Accepting Officer may insure the work and may deduct the amount of premiums from any money become payable to the contractor or may at his discretion refuse payment of any advances to the Contractor until the contractor shall have complied with the terms of the condition.

Such insurance whether effected by the Accepting Officer or the Contractor shall not be a limit or bar to the liability and obligation of the contractor to complete the entire work in all respects as certified by the Engineer-in-charge.

In case of such a loss or damage as aforesaid, the money payable under any such insurance shall be received and may be retained by the BHEL until the work is finally completed and shall then be credited to the contractor in the final statement of accounts in the event of his contract not having been previously cancelled under these conditions.

#### 39. DAMAGE AND LOSS TO PRIVATE PROPERTY & INJURY TO WORKMAN:

The contractor shall at his own expense reinstate and make good to the satisfaction of the Engineer-in-charge and pay compensation for any injury, loss or damage occasioned to any property or rights whatever including property and rights of BHEL, (or Agents, servants or employees of BHEL) the injury loss or damage arising out of or in any way in-connection with the execution of purported execution of the contract and further the contractor shall indemnify BHEL against all claims enforceable against BHEL (or any agent, servant or employee of BHEL or which would be so enforceable against BHEL) where a private person, in respect of any such injury (including injury resulting in death loss or damage to any person) whosoever or property, including all claims which may arise under the workman's Compensation Act or otherwise.

#### **40. COMPLETION:**

The works shall be completed to the entire satisfaction of the Engineer-in-charge and in accordance with the Contractors forecast of Time and Progress where operative, and all unused stores and materials, tools plant, equipment, temporary buildings, and things shall be removed and the site and work cleared of rubbish and all waste materials and delivered up clean and tidy to the satisfaction of the Engineer-in-charge at the Contractors expense on/or before the Scheduled date of completion.

The BHEL shall have power to take over from the Contractor from time to time such sections of the work as have been completed to the satisfaction of the Engineer-in-charge.

The Engineer-in-charge shall certify to the Contractor the date on which the work is completed and the state thereof.

The Engineer-in-charge shall also certify, to the Contractor the state of the work at the end of the maintenance period, where applicable.

#### 41. COMPENSATION FOR DELAY:

If the contractor fails to maintain the required progress in terms of condition 7 or to complete the work and clear the site on or before the contracted or extended date period of completion, he shall, without prejudice to any other right or remedy of the BHEL on account of such breach, pay as agreed compensation an amount calculated as stipulated below or such smaller amount as may be fixed by the BHEL on the contract value of the work for every week that the progress remains below that specified in condition 7 or that the work remains incomplete.

This will also apply to items or a group of items for which separate period of completion has been specified.

For this purpose the term 'contract value' shall be the value at contract rates of the work as ordered.

a)	Completion period (as originally stipulated) not exceeding 6 months.	 @ 1 pe	ercent per week
b)	Completion period (as originally stipulated)		
	Exceeding 6 months and not exceeding 2 years	 @ 1/2	percent per week
c)	Completion period (as originally stipulated)		-
	exceeding 2 years	 @ 1/4	percent per week
	not exceed the under noted percentage of the contra of group of items of work for which a separate period		
a)	Completion period (as originally stipulated)		
	not exceeding 6 months.	 	10 percent
b)	Completion period (as originally stipulated)		
	Exceeding 6 months and not exceeding 2 years	 	7½ percent
c)	Completion period (as originally stipulated)		
	Exceeding 2 years	 	5 percent

The amount of compensation may be adjusted or set off against any sum payable to the Contractor under this or any other contract with the BHEL.

#### **42 LAWS GOVERNING THE CONTRACT:**

This contract shall be governed by the Indian Laws for the time being in force.

#### 43 CANCELLATION OF CONTRACT FOR CORRUPT ACTS:

The Accepting Officer, whose decision shall be final and conclusive, shall, without prejudice to any other right or remedy which shall have accrued or shall accrue thereafter to Bharat Heavy Electricals Limited, cancel the contract in any of the following cases and the contractor shall be liable to make payment to BHEL for any loss or damage resulting from any such cancellation to the same extent as provided in the case of cancellation for default.



If the contract shall:

a) Offer or give or agree to give to any person in BHEL, service any gift or consideration of any kind as an inducement or reward for doing or forbearing to do or for having done or forborne to do any act in relation to the obtaining or execution of this or any other contract for BHEL service.

OR

b) Enter into a contract with BHEL in connection with which commission has been paid or agreed to be paid by him or with his knowledge, unless the particulars of any such commission and the terms of payment thereof have previously been disclosed in writing to the Accepting Officer,

OR

c) Obtain a contract with BHEL as a result of RING tendering or by non-bonefide methods of competitive tendering without first disclosing the fact in writing to the Accepting Officer.

## 44. CANCELLATION OF CONTRACT FOR INSOLVENCY, ASSIGNMENT OR TRANSFER OR SUB LETTING OF CONTRACT:

The Accepting Officer, without prejudice to any other right or remedy which shall have accrued or shall accrue thereafter to BHEL shall cancel the contract in any of the following cases:-

#### If the contractor:

a) Being an individual, or if a firm any partner thereof, shall at any time be adjusted bankrupt or have a receiving order or orders for administration of his Estate made against him of shall take any proceedings, for liquidation or composition under Bankruptcy Act for the time being in force or make any conveyance or assignment of his effects of composition or arrangements for the benefit of his creditor or purport to do so, or if any application be made under any Bankruptcy Act for the time being in force for the sequestration of his estate or if a trust deed be granted by him on behalf of his creditors;

OR

b) Being a company, shall pass a resolution or the court shall make an order for the liquidation of its affairs, or a Receiver or a Manager on behalf of the debentures holders shall be appointed or circumstances shall arise which entitle the court or debentures holders to appoint a Receiver or Manager;

OR

c) Assigns, transfers, sub-let or attempt to assign transfer or sub-let any portion of the work without the prior return approval of the Accepting Officer.

Whenever the Accepting Officer exercise his authority to cancel the contract under this condition he may complete the work by any means at the contractor risk and expense provided always that in the event of the cost of completion (as certified by Engineer-in-charge which is the final and conclusive) being less than the contract cost, the advantage shall accrue to the BHEL and that if the cost of completion exceeds the moneys due to the contractor under the contract, the contractor shall either pay the excess amount ordered by Engineer-in-charge or the same shall be recovered from the contractor by other means.

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## Bharat Heavy Electricals Limited Electronics Division, Mysore Road, Banglore-560026

In case of BHEL completes the work under the provisions of this condition the cost of such completion to be taken into account in determining the excess cost to be charged to the contractor under this condition shall consist of the cost of material purchased and /or labour provided by the BHEL with an addition of such percentage to cover superintendence and establishment charges as may be decided by the Sr. Manager (P) / Engineer-in-charge whose decision shall be final and conclusive.

## 45 CANCELLATION OF CONTRACT IN PART OR IN FULL FOR CONTRACTOR'S DEFAULT:

If the contractor:

a) Makes default in commencing the work within a reasonable time form date of handing over of the site and continues in that state after a reasonable notice from Engineer-in-charge

OR

b) In the opinion of the Engineer-in-charge at any time, whether before or after the date or extended date for completion, make default in proceeding with the work, with due diligence and continues in that state after a reasonable notice from Engineer-in-charge.

OR

c) Fails of comply with any of terms and conditions of the contract or after reasonable notice in writing with orders properly issued thereunder:

OR

d) Fails to complete the work, work order and items of work with individual dates for completion and clear the site on or before the date of completion, or fails to achieve the progress as set out under clause 7 of these General Conditions of Contract.

The Accepting Officer may, without prejudice to any other rights or remedies which shall have accrued or shall accrue thereafter to BHEL cancel the contract as a whole or in part thereof or only such work order or items of work in default from the contract. Whenever the Accepting Officer exercises his authority to cancel the contract as a whole or in part under this condition he may complete the work at the contractor risk and cost, provided always that in the event of the cost of completion (as certified by Engineer-in-charge which is final and conclusive) being less than the contract cost, the advantage shall accrue to the BHEL if the cost of the excess amount ordered by Sr. M.P./Engineer-in-charge or the same shall be received from the contractor by other means.

In case the BHEL completes the work or any part thereof under the provisions of this condition the cost of such completion to be taken into account in determining the excess cost to be charged to the contractor under this condition shall consist of the cost of the materials purchased and /or labour provided by the BHEL with an addition of such percentage to cover superintendence and establishment charges as may be decided by the Sr. M.P./ Engineer-in-charge. whose decision shall be final and conclusive.



#### **46 TERMINATION OF CONTRACT FOR DEATH:**

Without prejudice to any of the rights or remedies under this contract, if the contractor dies, Accepting Officer shall have the opinion of terminating the contract without compensation to the contractor.

#### 47. SPECIAL POWER OF DETERMINATION:

If at any time after the Acceptance of the tender, BHEL shall for any reason whatsoever not require the whole or any part of the work, to be carried out, the Sr. M.P./Engineer-in-charge shall give notice in writing of the fact to the contractor who shall have no claim to any payment of compensation or otherwise how-so-ever on account of any profit or advantage which he might have derived from the execution of the work in full but which he did not derive in consequence of the force-closing of the work.

He shall be paid at Contract rate, for the full amount of the work executed including such additional works, e.g. clearing of site, etc., as may be rendered necessary by the said force-closing. He shall also be allowed reasonable payment (as decided by the Accepting Officer) for any expenses sustained on account of labour and materials collected but which could not be utilised on the work, as verified the Engineer-in-charge. Neither shall the contractor has any claim for compensation on account of any alterations having been made in the original specifications, drawings, designs and instructions, involving any curtailment of the work as originally contemplated.

#### 48. FAIR WAGE:

- a) The Contractor shall pay not less than the 'Fair Wage' to labourers engaged by him on the work.
  - 'Fair Wage' means wage whether for time or piece work notified at the time of inviting tenders for the work and where such wages have not been notified, the wages prescribed by the Sr. Manager(Projects)/ Engineer-in-charge for the stations at which the work is done.
- b) The contractor shall, notwithstanding the provision of any contract to the contrary, cause to be paid a 'Fair Wage' to labourers indirectly engaged on the work, including any labour engaged by his sub-contractors in connection with the said wok, as if the labourers had been directly employed by him.
- c) In respect of all labours directly or indirectly employed on the work for the performance of the contractor's part of this Agreement, the contractor shall comply with or cause to be complied with the BHEL contractor's labour Regulations (appended hereto as Annexure 'A' to these conditions) in regard to payment of wages, wage period, deductions from wages, recovery of wages, not paid and deductions, unauthorised, maintenance of wage book, wage slips, publication of scale of wages and other terms of employment, inspection and submission of periodical returns and all other matters of a like nature.
- d) The Engineer-in-charge concerned shall have the right to deduct from the moneys due to the Contractor any sum required or estimated to be required for making good the loss suffered by a worker or workers by reason of non-fulfilment of the conditions of the contract for the benefit



of the workers, non-payment of wags or of deductions made from this or their wages which are not justified by the terms of the contract or non-observance of the regulations.

- e) Vis-à-vis BHEL, the Contractor shall be liable primarily for all payments to be made under the contract and for the observances of the Regulations aforesaid without prejudice to his right to claim indemnity from his sub-contractors.
- f) The regulations aforesaid shall be deemed to be a part of this contract and any breach thereof shall be deemed to be a breach of this contract.

#### **CHAPTER-IV**

#### VALUATION AND PAYMENT

#### 49. RECORDS AND MEASUREMENTS:

All items having a financial value shall be entered in the BHEL Measurement Book so that a complete record is obtained of all works performed under the contract.

Building etc. priced in schedule 'A' as a unit lump-sum will be entered by number at the unit lump-sum. Work carried out for agreed lump-sums will be described and similarly recorded.

Lump-sum omissions will be entered for deduction. Measurement shall be restricted to that required to ascertain the financial liability of BHEL under the contract.

Work which fails to be measured in detail shall be measured physically, without reference to any local custom that may obtain excepting where it may otherwise be directed in the tender documents. The measurements shall be taken jointly by any person duly authorised on the part of the BHEL and by the contractor.

The Engineer-in-charge shall give reasonable notice in writing to the Contractor of appointment for measurement.

The contractor shall, without extra charge, provide assistance with appliances and other things necessary for measurement.

The Contractor shall bear all the cost of measurement of his work.

Measurement shall be entered in the BHEL Measurement book and signed and dated by both parties each day at the site on completion of measurement. If the contractor objects to any of the measurement recorded on behalf of the BHEL a note to that effect will be made in the BHEL measurement book or against the item or items objected to; and such note shall be signed and dated by both the parties engaged in taking the measurement.

If, as a result of such objection, it becomes necessary to re-measure the work wholly or in part, the expense of such re-measurement shall be borne by the party requiring the ,measurement to be re-taken provided that a net error is found by this re-measurement to amount to less than 5% (five percent) of the value as recorded by the first measurement. But, where the net errors amount to 5% and over of the said value, then the cost is to be borne by the other party. In any

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case, if the net value of errors found exceeds Rs. 500/- the expense of re-measurement is to be borne by the other party.

If the Contractors representative fails to attend when required, the Engineer-in-charge shall have power to proceed by himself to take measurements and in that case these measurements shall be accepted by the Contractor as final.

The contractor shall, once every month submit to the Engineer-in-charge with a copy to the Sr. M(P)/ Engineer-in-charge details of his claims for the work done by him upto and including the previous months which are not covered by his contract Agreement in any of the following respects.

- a) Deviation from the items and Specifications provided in the contract documents.
- b) Extra items/New items of work.
- c) Quantities in excess of those provided in the contract schedule.
- d) Items in respect of which rates have not been settled. He should, in addition, furnish a clear certificate to the effect that the claims submitted by him as aforesaid cover all his claims and that no further claims shall be raised by him in respect of the work done upto and including the period under report.

#### **50. VALUATION OF DEVIATIONS:**

Rates for deviated items of work will be fixed as follows:

- I. For any item of wok required to be carried out after the contract has been awarded and which is not covered by Contractors Schedule but is covered by K.P.W.D. schedule of rates the rate payable for such a fresh item will be derived from K.P.W.D. Schedule by the method of proportion as follows:
- a) In the same proportion to the rate in K.P.W.D. Schedule of Rates as the tendered rate for the nearest analogous items of work in Contractors Schedule bears to the rate for the particular analogous item or work in K.P.W.D. schedule of Rates.
- b) If a single appropriate analogous item of work is not available in both Contractor's Schedule and K.P.W.D. Schedule, then the method of proportion will be applied to the nearest analogous group of items available in both the Schedules referred i.e. in the same proportion as the total tendered cost of that particular group of items (the sum of the products of the tendered rates and the quantities for which orders are placed) bears to the total cost of the same items and quantities at the K.P.W.D.Schedule of Rates.
- c) If even an appropriate analogous group of items is not available in Contractor's Schedule and K.P.W.D. Schedule, then the methods of proportion will be applied to all those items of the whole work, which are available in both the Schedules and for which orders have been placed on the Contractor, i.e., In the same proportion as the total cost of all these items of work (the sum of the products of the tendered rates and the quantities for which orders are placed) bears to the total cost of the same items and quantities at the K.P.W.D. Schedule of Rates.

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II. If any work not covered by any of the foregoing is ordered on the Contractor, the basis of payment shall be decided by the Accepting Officer whose decision shall be final and conclusive and binding on the parties.

The selection of analogous item or analogue group of items referred to above shall be done by the Engineer-in-charge. Where the rates for deviated items or new items of work can be derived by the selection of different analogous items or analogous group of items, the lowest of all such derived rates shall be taken as the correct rate.

In the case of the contracts for which the Engineer-in-charge is the Accepting Officer, all disputes regarding the settlement of rates of deviated or new items of work shall be referred to the Engineer-in-charge. whose decision shall be final and conclusive.

#### 51. REIMBURSEMENT/REFUND ON VARIATION IN PRICE, MATERIALS:

In after submission of the tender and/or during the progress of the works, the price of any materials (not being a material supplied from the BHEL, stores in accordance with the Conditions of the Contract) is increased or decreased by an Act of Legislature (Central or state) and /or any notification thereunder or on account of new duties or levies such as octroi or on account of increase or decrease in such duties affecting the price of materials required for incorporation in the works or the price of any item to be incorporated in the works and made from materials of which the price has increased or decreased as aforesaid and the Contractor has thereupon to pay in respect of such material or item a price which is higher or lower than the price of that material or item as prevailing immediately before the passing of such Act or levying, increasing/decreasing of such duty, the BHEL., shall in case of increase in price or the duty reimburse the Contractor the increase in price or additional increased duty paid by the Contractor and in case of decrease in price, the BHEL shall be entitled to a refund of the reduction in duty. Provided however no reimbursement or refund shall be made if the increase /decrease is not more than plus 10% of the said price, and if so, the reimbursement or refund shall be made only on the excess over 10% provided always that any such increase shall not be payable if, in the opinion of the Engineer-in-charge whose decision shall be final and conclusive the increase is attributable to the delay in the execution of the contract within the control of the Contract, or that any such increase has become operative after the contracted/or extended date completion of the work or items of works in question.

The Contractor shall, for the propose of this condition, keep such books of account and other document as are necessary to show the amount of any increase claimed or any reduction available and shall allow inspection of the same by any duly authorised representative of the BHEL and further shall at the request of the Sr, E/C/E/C. furnish for verification such other information as the Engineer-in-charge may require.

The contractor shall within a reasonable time of his becoming aware of any alteration in the prices of any such materials, give notice thereof in writing to the Engineer-in-charge stating that the rate is submitted in pursuance to this condition together with all information relating there to which he may be in a position to supply.

#### 52. ADVANCES ON ACCOUNT:

No payment shall be made for work estimated to cost less than Rupees One Thousand till after the whole of the work shall have been completed and a certificate of completion given by the Competent authority.

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In the case of work estimated to cost more than Rupees One Thousand the contractor may at intervals of not less than one month or as otherwise provided for in the Contract documents, counting from the date on which order to commence work given by Engineer-in-charge submit claims on BHEL forms for payment of advance on account of work done and of materials delivered in connection with the Contract.

The Contractor shall be paid in respect of such claims to the extent approved and passed by the Engineer-in-charge subject to a maximum of 90% of the value of the work actually executed in site provided the work has been executed to the satisfaction of the Engineer-in-charge. The certificate of the Engineer-in-charge regarding such approval and passing of the sums so payable shall be final and conclusive against the Contractor.

"After the full amount of Security Deposit is made up through the 10% deductions from 'On Account' bills, 100% of all subsequent bills may be made to the Contractor".

The Contractor may also be paid during the progress of the work 75% of the value of any materials which are in the opinion of the Engineer-in-charge in accordance with contract, and are actually required for incorporation in the work and which have reasonably been brought to the site in-connection therewith and are adequately stored and/or protected against damage by weather or other causes, but which have not at the time of payment of the advance been incorporated in the work. Payment of such advances however shall be purely at the discretion of the Engineer-in-charge provided always that payments shall not be made under these periodical certificates in respect of perishable materials like lime, cement, timber, sand kankar etc.

Any sums/due from the Contractor on account of tools and plant, stores or any other items provided by BHEL shall be deducted from the respective advances.

The Engineer-in-charge shall from time to time certify the sums payable to the Contractor after retaining the reserves.

Any certificate relating to work done or materials delivered may be modified or corrected by any subsequent interim certificate or by the final certificate and no certificate of the Engineer-incharge supporting an advance payment shall itself be conclusive evidence that any work or materials to which it relates are in accordance with the contract. All such intermediate payments shall be regarded as advances against the final payment only and shall not be considered as an admission of the due performance of the contract or any part thereof in respect or the accruing of any claim whatsoever. Such intermediate payments shall not conclude, determine or effect in any way the powers of the Engineer-in-charge as to the final settlement and adjustment of the account or otherwise, or in any way vary or affect the Contract.

#### 53. FINAL BILL:

As soon as possible after the completion of the work to the satisfaction of the Engineer-incharge, the contractor shall forward a certified final account on BHEL forms, in duplicate. It shall be accompanied by all abstracts, vouchers etc., in support thereof and shall be prepared in the manner prescribed by the Engineer-in-charge. No claim will be entertained after the receipt of the final bill.

The contractor shall be entitled to be paid the final sum less the value of payments already made on account, subject to certification of the final bill by the Engineer-in-charge any sums due from



the contractor on account of Tools and plant, Stores or any other items provided by BHEL not yet recovered from the contractor shall be deducted from the final sum aforesaid.

No charge shall be allowed to the contractor on account of the preparation of the final bill.

#### **54. PAYMENT OF BILLS:**

#### 55. RECOVERY FROM CONTRACTOR:

Whenever under the contract any sum of money shall be recoverable from or payable by the contractor the same may be deducted from any sum then due or which at any time thereafter may become due to the contractor under the contract or under any other contract with BHEL or from his Security Deposit or he shall pay the claim on demand.

#### 56. POST TECHNICAL AUDIT OF WORK AND BILLS:

BHEL reserves the right to carry out a post-payment audit and technical examination of the work and final bill including all supporting vouchers, abstract etc., and to enforce recovery of any sums becoming due as a result thereof in the manner provided in the preceding sub-paragraph's provided however that no such recovery shall be enforced after three years of passing the final bill.

#### **57. REFUND OF SECURITY DEPOSIT:**

50% of the Security Deposit mentioned in condition 16 above, may be refunded to the contractor in respect of all contracts on completion of work and after payment of final bill and the balance 50% on expiry of the maintenance period, (Described under clause 23) provided the contractor shall have rendered a "No Demand Certificate." In case of works where maintenance period is not involved 100% of the security deposit may be refunded after payment of final bill provided that the contractor shall have rendered a "No Demand Certificate."

#### **58. ARBITRATION:**

Except where otherwise provided for in the contract all question and disputes relating to the meaning of the specifications, design, drawings and instructions herein before mentioned and as to the quality of workmanship or materials used on the work or as been other questions, claim, right, matter or things whatsoever in any way arising out of or relating to the contract, design, drawing, specification, estimates, instructions, orders or these conditions or otherwise concerning the works or the execution or failure to execute the same whether arising during the program of the work or after the completion or abandonment thereof shall be refereed to the sole arbitration of the Managing Director/Chief Engineer of BHEL and if the Managing Director/Chief Engineer is unable or unwilling to Act, to the sole arbitration of some other person appointed by the Managing Director/General Manager/Chief Engineer, willing to Act as



such arbitrator. The cases referred to arbitration shall be other than those for which the decision of the Engineer-in-charge. is expressed in the contract to be final and conclusive. There will be no objection if the arbitrator so appointed is an employee of BHEL and that he had to deal with the matters to which the contract relates and that in the course of his duties as such he had expressed views on all or any of the matters in dispute or difference. The arbitrator to whom the matter is originally referred being transferred by vacating his office or being unable to act for any reason, such Managing Director/ General Manager/Chief Engineer as aforesaid at the time of such transfer, vacation of office or inability to act, shall appoint another person to act as arbitrator in accordance with the terms of the contract, such person shall be entitled to proceed with the reference from the state at which it was left by his predecessor.

Subject as aforesaid the provision of the Arbitration Act, 1940 or any statutory modification or re-enactment thereof and the rules made thereunder and for the time being in force shall apply to the arbitration proceedings under this clause.

It is a term of contract that the party involving arbitration shall specify the dispute or disputes to be referred to arbitration under this clause together with the amount or amounts claimed in respect of each such dispute.

The arbitrator (s) may from time to time with consent of the parties enlarge the time, for making and publishing the awards.

The work under the contract shall, if reasonably possible, continue during the arbitration proceedings and no payment due to payable to the contractor shall be withheld on account of such proceedings.

The Arbitrator shall be deemed to have entered on the reference on the date he issues notice to both the parties fixing the date of the first hearing.

The Arbitrator shall give a separate award in respect of each dispute or difference referred to him.

The venue of arbitration shall be such place as may be fixed by the arbitrator in his sole discretion.

The award of the arbitrator shall be final, conclusive and binding all parties to this contract.



#### ANNEXURE- 'A'

#### BHEL CONTRACTOR'S LABOUR REGULATIONS.

(See condition 20)

#### 1. **DEFINITION:**

In these regulations, unless otherwise expressed or indicated, the following words and expressions shall have the meaning hereby assigned to them.

- a) "Labour" means workers employed by a contractor directly or indirectly through a sub-contractor, or by an agent on his behalf on a payment not exceeding Rs. 500/- per month.
- b) "Fair Wage" means wages, which shall include wages for weekly day of rest and other allowances, whether for time or piece work, after taking into consideration prevailing market rates for similar employment in the neighborhood but shall not be less than the minimum rates of wages fixed under the Minimum wages Act.
- c) "Contractor" for the purpose of these Regulations shall include an agent or sub-contractor employing labour on the wok taken on contract.
- d) "Inspecting Officer" means any Labour Enforcement Officer or Assistant Labour Commissioner of the Chief Labour Commissioner's organisation.
- e) "Form" means a form appended to these Regulations.

#### 2. NOTICE OF COMMENCEMENT:

The contractor shall, within seven days of commencement of the work, furnish in writing to the Inspecting Officer of the area concerned the following information, with copy to the Engineer-in-charge.

- a) Name and situation of the work.
- b) Contractor's name and address.
- c) Particulars of the Department for which the work is undertaken.
- d) Name and address of the sub-contractors as and when they are appointed.
- e) Commencement and probable duration of the work.
- f) Number of workers employed and likely to be employed.
- g) 'Fair Wages' for different categories of workers.
- 3. I) Number of hours, which shall constitute a normal working day. The number of hours which shall constitute a normal working day for an adult shall be NINE hours. The working day of an adult worker shall be so arranged that of intervals, if any for rest it shall not spread over more than 12 hours on any day, when an adult worker is made to work for more than NINE hours on any day or for more than 48 hours in any week he shall in respect of over time work, be paid wages at double the ordinary rate of wages.
  - II) Weekly day of rest: Every worker shall be given a weekly day of rest, which shall be fixed and notified at least 10 days in advance. A worker shall not be required or allowed to work on the weekly rest day unless he has or will have a substitution rest day, on one of the five days immediately before or after the rest day, provided that no substitution shall be made which will result in the worker working for more than 10 days consecutively without a rest day for a whole day.

Where in accordance with the foregoing provisions a worker works on the rest day and has been given a substituted rest day he shall be paid wages for the work done on the weekly rest day at the overtime rate of wages.

NOTE: The expression 'Ordinary rate of wages' means the fair wage the worker is entitled to.

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#### 4. DISPLAY OF NOTICE REGARDING WAGES, WEEKLY DAY OF REST ETC.

The Contractor shall before he commences his work on contract display and correctly maintain and continue to display and correctly maintain in a clean legible condition in conspicuous places on the works, notice in English and in the local Indian languages, spoken by majority of workers, giving the rate of fair wages, the hours of work for which such wages are payable, the weekly rest days workers are entitled to and name and address of the Inspecting Officer. The Contractor shall send a copy of each of such notice to the Inspecting Officers and the Engineer-in-charge.

#### 5. FIXATION OF WAGE PEIODS:

The contractor shall fix wage periods in respect of which wages shall be payable. No wage period shall normally exceed one work.

#### 6. PAYMENT OF WAGES:

- i) Wages due to every worker shall be paid to him direct. All wages shall be paid in current coins or currency or in both.
- ii) Wages of every worker employed on the contract shall be paid where the wage period is one week ,within three days from the end of the wage period, and in any other case before the expiry of the 7<sup>th</sup> day or 10<sup>th</sup> day from the end of the wage period according as the number of workers does not exceeds 1000.
  - iii) When employment of any worker is terminated by or on behalf of the contractor, the wages earned by him shall be paid before expiry of the day succeeding the one on which his employment is terminated.
  - iv) Payment of wages shall be made at the work site on a working day except when the work is completed before expiry of the wage period, in which case final payment shall be made at the work site with in 48 hours of the last working day and during normal working time.

#### Note:

The term "working day" means a day on which the work, on which labour is employed, is in progress.

#### 7. REGISTER OF WORKMEN:

A register of workmen shall be maintained in the form appended to these regulations and kept at the work site or as near to it as possible, and the relevant particulars of every workmen shall be entered therein within 3 days of his employment.

#### 8. EMPLOYMENT CARD:

The contractor shall issue an employment card in the form appended to these regulations to each worker on the day of work or entry into his employment. If a worker has already any such card with him issued by the previous employer the contractor shall merely endorse that employment card with relevant entries. On termination of employment the employment card shall again be endorsed by the contractor and returned to the worker.

#### 9. REGISTER OF WAGES ETC:

- i) A register of wages- cum-muster roll in the form appended to these regulations shall be maintained and kept at the work site or as near to it as possible.
- ii) A wage slip in the form appended to these regulations shall be issued to every worker employed by the contractor atleast a day prior to disbursement of wages.

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#### 10. FINES AND DEDUCTIONS WHICH MAY BE MADE FROM WAGES:

- i) Wages of worker shall be paid to him without any deductions of any kind except the following:
- a) Fines.
- b) Deductions for absence from duty, i.e. from the place or the places where by the terms office employment is required to work. The amount of deduction shall be in proportion to the period for which he was absent.
- c) Deduction for damage to or loss of goods expressly entrusted to the employed person for custody, or for less of money which he is required to account for, where such damage or loss is directly attributable to his neglect or default;
- d) Deductions for recovery of advances or for adjustment of over payment of wages. Advances granted shall be entered in a register; and
- e) Any other deduction which the BHEL may from time to time allow.
- ii) No fines shall be imposed on a worker save in respect of such acts and omissions on his part as have been approved by the chief Labour Commissioner.
- iii) No fines shall be imposed on a worker and no deductions for damage for loss shall be made from his wages until the worker has been given an opportunity of showing cause against such fines or deductions.
- iv) The total amount of fines which may be imposed in any one wage period on a worker shall not exceed an amount equal to three paise in a rupee of the wages payable to him in respect of that wage period.
- v) No fine imposed on a worker shall be recovered from him in instalments, or after expiry of sixty days from the date on which it was imposed. Every fine shall be deemed to have been imposed on the day of the act or omission in respect which it was imposed.
- vi) The contractor shall maintain both in English and the local Indian language a list, approved by the Chief Labour Commissioner, clearly stating the acts and omissions for which penalty or fine may be imposed on a workman and display it in good condition in a conspicuous place on the work site.
- vii) The contractor shall maintain a register of fines and the register of deductions for damage or loss in the forms appended to these regulations which should be kept at the place of work.

#### 11. REGISTER OF ACCIDENTS:

The contractor shall maintain a register of accidents in such form as may be convenient at the work place but the same shall include the following particulars:-

- a) Full particulars of the labourers who met with the accident.
- b) Rates of wages
- c) Sex.
- d) Age.
- e) Nature of accident and cause of accident.
- f) Time and date of accident.
- g) Date and time when admitted in hospital.
- h) Date of discharge from the hospital.
- i) Period of treatment and result of treatment.



- j) Percentage of loss of earning capacity and disability as assessed by Medical officer.
- k) Claim required to be paid under workmen's composition Act.
- 1) Date of payment of compensation.
- m) Amount paid with details of the person to whom the same was paid.
- n) Authority by whom the compensation was assessed.
- o) Remarks.

#### 12. **PRESERVATION OF REGISTERS**:

The register of workmen and the Register of wages-Cum-Muster Roll required to be maintained under these Regulations shall be preserved for 3 years after the date on which the last entry is made therein.

#### 13. **ENFORCEMENT:**

The Inspecting Officer shall either on his own motion or on a complaint received by him carry out investigations and send a report to the Engineer-in-charge specifying the amount representing / workers dues and amount of penalty to be imposed on the contractor for breach of these regulations, that have to be recovered from the contractor, indicating full details of the recoveries proposed and the reasons, therefor. It shall be obligatory on the part of the Engineer-in-charge on receipt of such a report to deduct such amounts from payments due to the contractor.

#### 14. DISPOSAL OF AMOUNTS RECOVERED FROM THE CONTRACTORS:

The engineer- in -charge shall arrange payment to workers concerned within 45 days from receipt of a report from the Inspecting Officer except in cases where the contractor had made an appeal under regulation. 16. Of these regulations. In cases where there is an appeal, payment of worker dues would be arranged by the Engineer -in -charge, wherever such payments arise, with in 30 days from the date of receipt of the decision of the Regional Labour Commissioner (RLC).

#### 15. **WELFARE FUND:**

All moneys that are recovered by the Engineer-in-charge by way of workers due which could not be disbursed to workers with in the time-limit prescribed above, due to reasons such as where about or workers not being known, death of a worker, etc., and also amounts recovered as penalty, shall be credited to a fund to be kept under the custody or BHEL for such benefits and welfare of workmen employed by the contractors.

#### 16. APPEAL AGAINST DECISION OF INSPECTING OFFICER:

Any person aggrieved by a decision of the Inspecting Officer may appeal against such decision to the Regional Labour Commissioner concerned within 30 days from the date of decision, forwarding simultaneously a copy of his appeal to the Engineer-in-charge. The decision of the Regional Labour Commissioner Shall be final and binding upon the contractor and the work men.

#### 17. **REPRESENTATION OF PARTIES:**

i) A workman shall be entitled to be represented in any investigation or enquiry under these Regulations by an officer of a registered trade union of which the said trade union is affiliated or where the work man is not a member of any registered trade union, by an officer of a registered trade union, connected with, or any other workmen employed in, the industry in which the worker is employed.



- ii) A contractor shall be entitled to be represented in any investigation or enquiry under these Regulations by an officer of an association of contractors of which he is member or by an officer of a Federation of associations of contractors to which the said association is affiliated or where the contractor is not a member of any association of employers, connected with, or by any other employer engaged in the industry in which the contractor is engaged.
- iii) No party shall be entitled to be represented by a legal practitioner in any investigation or enquiry under these Regulations.

#### 18. INSPECTION OF BOOKS AND OTHER DOCUMENTS:

The contractor shall allow Inspection of the registers and other documents prescribed under these regulations by inspecting officers and the Engineer-in-charge or his authorised representative at any time and by the worker or his agent on receipt of due notice at a convenient time.

#### 19. **INTERPRETATION ETC.:**

On any question as to the application, interpretation or effect of these Regulations, the decision of the Chief Labour Commissioner or Deputy Chief Labour Commissioner (Central) shall be final and binding.

#### 20. **AMENDMENTS**:

Central Government may, from time to time, add to or amend the Contractors Labour Regulations and issue such directions as it may consider necessary for the proper implementation of the Contractors Labour Regulations for the purpose of removing any difficulty which may arise in the administration thereof, based on which the BHEL Contractors Labour Regulation here in contained shall be subject to revision.

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#### **BHEL SAFETY CODE**

(See condition 20)

- 1. Suitable scaffolds shall be provided for workmen for all work that cannot safely be done from the ground, or from solid construction except such short period work as can be done safely from ladders. When a ladder is used one extra mazdoor shall be engaged for holding the ladder and if the ladder is used for carrying materials as well, suitable footholds and handholds shall be provided on the ladder and the ladder shall be given an inclination not steeper than ½ to 1 (1/4 horizontal and 1 vertical).
- 2. Scaffolding or staging more than 3.25 meters above the ground or floor, swing or suspended from an overhead support or erected with stationery support, shall have a guard rail properly attached, bolted, braced and otherwise secured atleast 1 meter high above the floor or platform of such scaffolding or staging and extending along with the entire length of the outside and ends thereof with only such openings as may be necessary for the delivery or materials, such scaffolding or staging shall be so fastened as to prevent it from swaying from the building or structure.
- 3. Working platform, gangways and stairways shall be so constructed that they do not sag unduly or unequally, and if height of a platform or gangway or stairway is more than 3.25 meters above ground level or floor level it shall be closely boarded, have adequate width and be suitably fenced, as described in 2 above.
- 4. Every opening in floor of a building or in a working platform shall be provided with suitable means to prevent fall of persons or materials by providing suitable fencing or failing with a minimum height of 1 meter.
- 5. Safe means of access shall be provided to all working platform and other working places, Every ladder shall be securely fixed. No portable single ladder shall be over 9 meters in length. Width between side rails in a rung ladder shall in no case be less than 30cm. For ladders upto and including 3 meters in length. For longer ladders this width shall be increased by atleast 6mm for each additional 30 cms of length. Uniform step spacing shall not exceed 30 cm. Adequate precautions shall be taken to prevent danger from electrical equipment. No materials on any of the sites shall be so stacked or placed as to cause danger or inconvenience to any person or the public. The contractor shall provide all necessary fencing and lights to protect public from accidents and shall be bound to bear expenses of defence of every suit action or other proceedings at law that may be brought by any person for injury sustaining owing to neglect of the above precautions, and pay any damages and costs which may be awarded in any such suit, action or proceedings to any such person or which may with the consent of the contractor be paid to compromise any claim by any such person.

#### 6. EXCAVATION AND TRENCHING:

All trenches, 1.5 meters or more in depth, shall at all times be supplied with atleast one ladder for each 30 m length or fraction thereof. Ladder shall to be extended from bottom of trench to atleast, 1 meter above surface of the ground. Sides of a trench 1.5 meters or more in depth shall be stepped back to give suitable slope, or securely held by timber bracing, so as to avoid the danger of sides collapsing. Excavated materials shall not be placed within 1.5 meters of the edge of trench or half the depth of trench, whichever is more. Cutting shall be done from top to bottom. Under no circumstances shall undermining or undercutting be done.

#### 7. **DEMOLITION:**

Before any demolition work is commenced and also during the process of the work.

a) All roads and open areas adjacent to the work site shall either be enclosed or suitably protected;

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- b) No electric cable or apparatus which is liable to be source of danger over a cable or apparatus used by operator shall remain electrically charged.
- c) All present steps shall be taken to prevent danger to persons employed, from risk or fire or explosion or No floor, roof or other part of a building shall be so overloaded with debris or materials as to render it unsafe.
- 8. All necessary personal safety equipment as considered adequate by the Engineer-in-charge shall be available for use of persons employed on the site and maintained in a condition suitable for immediate use and the contractor shall take adequate steps to ensure proper use of equipment by those concerned.
- a) Workers employed on mixing asphaltic materials cement and lime mortars/concrete shall be provided with protective footwear and protective goggles.
- b) Those engaged in handling any materials which is injurious to the eye shall be provided with protective goggles.
- c) Those engaged in welding works shall be provided with welder's protective eye shields.
- d) Stone breaker shall be provided with protective goggles and protective clothing and seated at sufficiently safe intervals.
- e) When workers are employed in sewer and manholes which are in use, the contractor shall ensure that manholes covers are opened and manholes are ventilated at least for an hour before workers are allowed to get in to them. Manholes so opened shall be cordoned off with suitable railing and provided with warning signals or boards to prevent accident to public.
- f) The contractor shall not employ men below the age of 18 and women on the work of painting with products containing lead in any form. Wherever men above the age of 18 are employed on the work of lead painting the following precautions shall be taken.
  - i) No paint containing lead or lead products shall be used except in the form of paste or readymade paint.
  - ii) Suitable face masks shall be supplied by the contractor for use by workers when paint is applied in the form of spray or a surface having lead paint is dry rubbed and scrapped.
  - iii) Overalls shall be supplied by the Contractor to workmen and adequate facilities shall be provided to enable working painters to wash during and cessation of work.
- 9. When work is done near any where there is risk of drowning, all necessary equipment shall be provided and kept ready for use and all necessary steps taken for prompt rescue of any person in danger and adequate provision made for prompt first aid treatment of all injuries likely to be sustained during the course of the work.
- 10. Use of hoisting machine and tackles including their attachments, anchorage and supports shall conform to the following:
- a) i) These shall be of good mechanical construction, sound material and adequate strength and free from defects.
  - ii) Every rope used in hoisting or lowering materials or as a means of suspension shall be durable quality and adequate strength, and free from defects.
- b) Every crane driver or hoisting appliance operator shall be properly qualified and no person under the age of 21 years shall be in-charge of any hoisting machine including any scaffolding winch or give signals to operator.
- c) In case of every hoisting machine and of every chain, ring, hook, shackle, swivel and pulley block used in hoisting, or lowering or as means of suspension safe working load shall be ascertained by adequate means. Every hoisting machine and all gear referred to above shall have the safe working load plainly marked thereon. In case of a hoisting machine having a variable safe working load, each safe working load, and the conditions under which it is applicable shall



- be clearly indicated. No part of any machine or any gear referred to above in this paragraph shall be loaded beyond the safe working load except for the purpose of testing.
- 10.d) In case of departmental machine, safe working load shall be notified by the Engineer-in-charge. As regards contractor's machines the contractor shall notify safe working load of each machine to the Engineer-in-charge whenever he brings it to site of work and get it verified by the Engineer-in-charge.
- 11. Motors gearing, transmission, electric wiring and other dangerous parts of hoisting appliances shall be provided with efficient safeguards, hoisting appliances shall be provided with such means as will reduce to the minimum risk of accidental descent of load. Adequate precautions shall be taken to reduce to the minimum risk of any part of a suspended load becoming accidentally displaced. When workers are employed on electrical installations which are already energised, insulating mats, working apparel such as gloves, sleeves, and boots, as may be necessary shall be provided. Workers shall not wear any rings, watches carry keys or other materials which are good conductors of electricity.
- 12. All scaffolding, ladders and other safety devices mentioned or described herein shall be maintained in a safe condition and no scaffold, ladder or equipment shall be altered or removed while it is in use. Adequate washing facilities shall be provided at or near the places of work.
- 13. These safety provisions shall be brought to the notice of all concerned by display on a notice board at a prominent place at the work spot. Persons responsible for ensuring compliance with the safety code shall be named there on by the contractor.
- 14. To ensure effective enforcement of the rules and regulations relating to safety precautions, arrangements made by the contractor shall be open to inspection by the Engineer-in-charge or his representative and the inspection officers as defined in the contractor's Labour Regulations.
- 15. Notwithstanding the above conditions 1 to 14, the Contractor is not exempted from the operation of any other Act or Rule in force.



# FROM OF REGISTER OF WORKMEN (Regulation 7)

(i)	Name and address of the contractor:
	Number and date of the contract agreement/work order :
` ′	Name and address of the department awarding the contract:
•	Nature of the contract and location of the work:
` '	Duration of the contract ·

Sl.No	Name and Surname of the workers	Age & Sex	Father's Husband' s Name	Nature of employment designation.	Permanent/ home address of employee (village) Dist. Tana)	Present address.	Date of commence ment of employmen t	Date of termination or leaving of employment	Signature or thumb impression of the employee	Remarks.
1	2	3	4	5	6	7	8	9	10	11



#### FORM OF EMPLOYMENT CARD

(Regulation 8)

(i)	Name and sex of the worker:
(ii)	Father's/Husband's Name:
	) Address :
(iv)	) Age or date of birth :
	Identification marks:
	Particulars of next of kin (wife/husband and children, if any, or/dependant next of kin in case the worker has no wife/husband or child):
	Name :
	Full address of dependants:
	(Specify village, Dist., and State):

Sl.No	Name and address of Employer (specify whether a contractor or a sub- contractor).	Particulars of location of work site and description of work done.	Total period for which the worker is employed (from- to)	Actual Number of days worked	Leave taken (No. of days should be specified		Wage rate with particulars of unit in case piece-work	Total wages earned by the worker during the period shown under col.5.	Remar ks	Signatur e of the emplo- yer.
1	2	3	4	5	6	7	8	9	10	11

N.B. for a worker employed at one time one piece-work basis and at another on daily wages, relevant entries in respect of each type of employment should be made separately.

## FORM OF WAGE SLIP (REGULATION 9)

1.	Name of the workers with	
	father's/husband's Name	:
2.	Nature of employment	:
3.	Wage period	:
4.	Rate of wages payable	:
5.	Total attendance/unit of	
	work done	:
6.	Dates on which overtime Worked.	:
7.	Overtime wages.	:
8.	Gross Wages payable	:
9.	Total deductions (indicating nature of deduction).	
10.	Net Wages Payable	:

CONTRACTOR'S SIGNATURE/ THUMB IMPRESSION. EMPLOYEES SIGNATURE/ THUMB IMPRESSION.

#### FORM OF RESISTER OF WAGES-CUM-MUSTER ROLL

### (Regulation 9)

•	
(i)	Name and address of the contractor:
(ii)	No. & Date of the contract agreement/work order:
(iii)	Name and address of the dept. awarding the contract:
(iv)	Nature of the contract and location of the work:
(v)	Duration of the contract:
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							Fair payal	wages ole.	Wages	s paid .	Over	time	worked	1.	Deduc	tion froi	n	wages.					
Serial Number	No. and Surname of the worker.	Father's/husband's Name	Sex	Designation nature of work.	Daily attendance (No. of units	Total attendance units.	Basic	D.A.and other allowances	Basic	D.A.and other allowances	Date	No. of hours.	Over time wages earned.	Total wages paid	Fine	Deduction for damage or loss	House rent.	Revenue of advances.	Other deductions	Net wages payable.	Date of payment	Signature or thumb impression	Remarks.
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24

# FORM OF REGISTER OF DEDUCTIONS FOR DAMAGE OR LOSS CAUSED TO THE BHEL BY THE NEGLECT OR DEFAULT OF THE EMPLOYED PERSONS.

(Regulation No. 10 (vii).

Sl.No	Name	Father's/ Husband's Name.	Sex.	Dept	Damage or loss caused with date.	Whether worker showed cause against deduction if so, enter date.	of deduction	Number of instalment if any.	Date on which total amount realised	Remarks.
1	2	3	4	5	6	7	8	9	10	11

### FORM OF REGISTER OF FINES (REGULATIONS No. 10 (VII)

SI.No.	Name	Father's/ Husband's Name.	Sex.	Dept.	Nature and date of the offence for which fine imposed	Whether workman showed cause against fine or not, enter date.	Rate of wages .	Date and amount of fine imposed.	Date on which fine realised.	Remarks.
1	2	3	4	5	6	7	8	9	10	11